

Diffractive structure function at very small β

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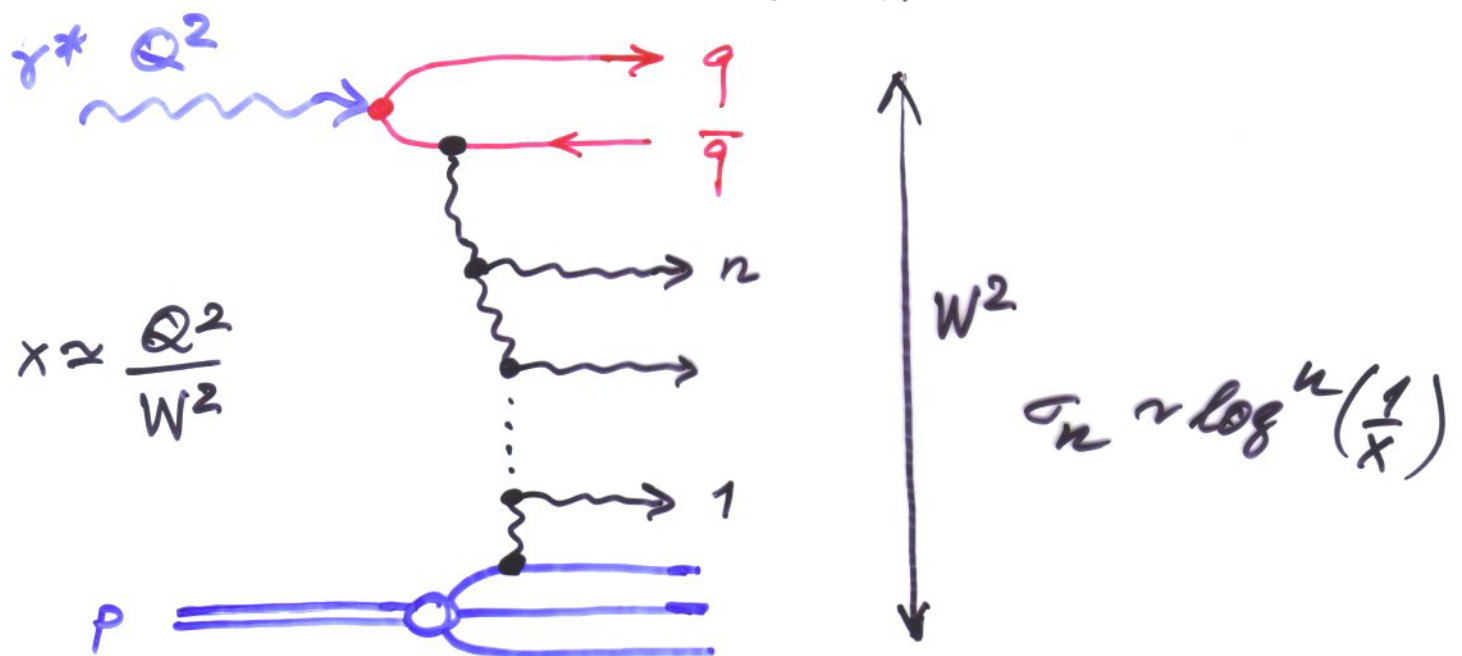
- color dipole approach to small- x physics
The color dipole Regge expansion.

- Extension of the CD-Regge expansion
to diffractive DIS.

Unitarity corrections.

Predictions

- Color dipole (CD) formulation of small x DIS



- CD - Fock space decomposition:

$$|\gamma^*\rangle = |\gamma^*\rangle_{\text{bare}} + \sum \psi_{q\bar{q}}(z, \vec{\tau}) |q\bar{q}; \vec{\tau}\rangle + \sum_n \psi_{q\bar{q}g_1 \dots g_n}(z, \vec{\tau}, z_i, \vec{\rho}_i) |q\bar{q}g_1 \dots g_n; \vec{\tau}, \vec{\rho}_i\rangle$$

→ Exact conservation of $\vec{\tau}, \vec{\rho}_i$ in a scattering process $\equiv$ angular momentum conservation

→ The effect of multi-gluon Fock states is reabsorbed into $\log(1/x)$ evolution of the interaction of the $q\bar{q}$ -dipole with the target:

$$\sigma_{T,L}^{\gamma^*P}(x, Q^2) = \int dz d^2\vec{\tau} |\psi_{T,L}(Q^2, z, \vec{\tau})|^2 \cdot \sigma(x, \vec{\tau})$$

- Inclusive DIS: exact color dipole factorization:

$$\sigma_{T,L}^{*p}(x, Q^2) = \int dz d^2\vec{r} |\Psi_{T,L}(Q^2; z, \vec{r})|^2 \cdot \sigma(x, \vec{r})$$

$$|\Psi_T|^2 = \frac{6\alpha_{em}}{(2\pi)^2} \sum_f e_f^2 \left\{ [z^2 + (1-z)^2] \varepsilon^2 K_1^2(\varepsilon r) + m_f^2 K_0^2(\varepsilon r) \right\}$$

$$|\Psi_L|^2 = \frac{6\alpha_{em}}{(2\pi)^2} \sum_f e_f^2 4Q^2 z^2(1-z)^2 K_0^2(\varepsilon r)$$

$$\varepsilon^2 \equiv z(1-z)Q^2 + m_f^2$$

Nikolaev, Zakharov '91

- Relationship between $\sigma(x, r)$ and the gluon SF of the target:

$$\sigma(x, r) = \frac{4\pi}{3} \alpha_s(r) \int \frac{d^2\vec{x}}{x^2} \frac{1 - \exp[i\vec{x} \cdot \vec{r}]}{x^2}$$

$$\bullet \frac{\partial G(x, \vec{x}^2)}{\partial \log \vec{x}^2}$$

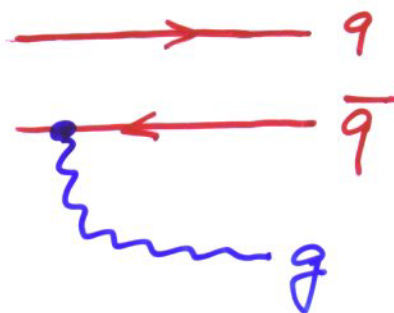
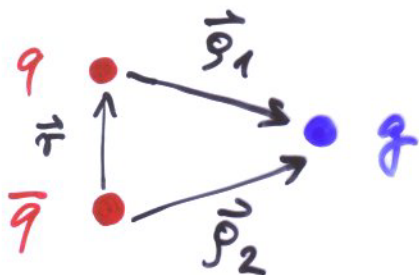
$$\simeq \frac{\pi^2}{3} r^2 \alpha_s(r) G(x, q^2 \sim \frac{10}{r^2})$$

NZ '93

- Color dipole formulation of BFKL:

Nikolaev, Zakharov, Zoller '94 ; running α_s , $N_c=3$

Mueller '94 ; fixed α_s , $N_c \rightarrow \infty$



- $$\frac{\partial \sigma(x, r)}{\partial \log(\frac{1}{x})} = \mathcal{K} \otimes \sigma(x, r)$$

$$= \frac{3}{8\pi^3} \int d^2 \vec{\rho}_1 |\vec{E}(\rho_1) - \vec{E}(\rho_2)|^2$$

$$* [\sigma(x, \rho_1) + \sigma(x, \rho_2) - \sigma(x, r)]$$

- *
$$\vec{E}(\vec{\rho}) = g_s (R = \min(r, \rho)) \cdot \frac{\vec{\rho}}{\rho^2} \cdot (\text{IR screening})$$

- *
$$\alpha_s(r) = \frac{g_s^2(r)}{4\pi}$$

- ! Asymptotic freedom (AF) enhances the IR sensitivity of BFKL & necessitates IR regularization.

- Finite propagation (screening) radius for perturbative gluons: $R_c \sim 0.2 \div 0.3 \text{ fm}$

- IR freezing of α_s .

- Solutions to the CD-equation - their Regge theory properties:

$$\sigma_i(x, r) = \sigma_i(r) \left(\frac{1}{x}\right)^{\Delta_i} \quad ?$$

- fixed α_s ; ~~AF~~

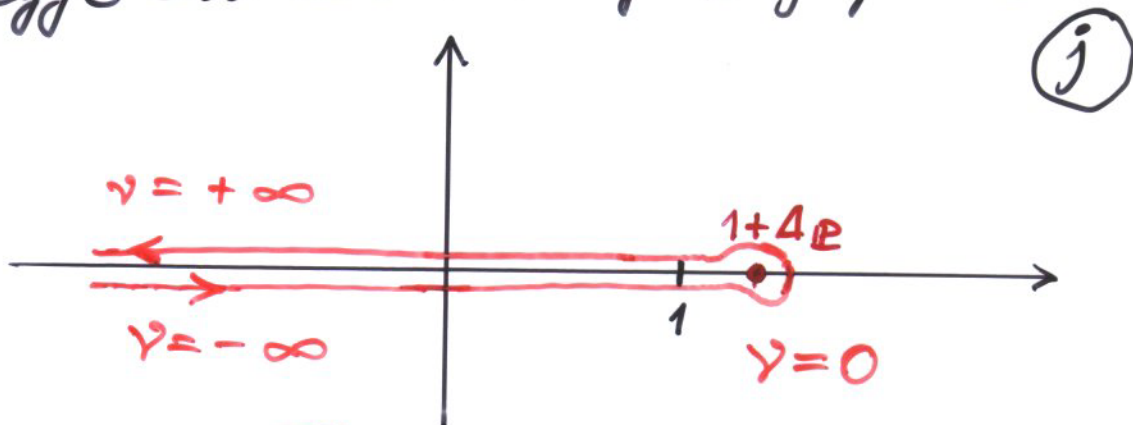
$$\rightarrow \mathcal{K} \propto \frac{r^2}{\rho_1^2 \rho_2^2} \Rightarrow \text{scale invariance}$$

$$\frac{\sigma_v(r)}{r^2} = \exp[iv \log r^2]$$

"plane waves" in $\log r^2$ -space with a highly non-linear "kinetic energy":

$$T(v) = -\Delta(v) = \frac{3\alpha_s}{\pi} \left[2\psi(1) - \psi\left(\frac{1}{2} - iv\right) - \psi\left(\frac{1}{2} + iv\right) \right]$$

- Regge cut in the complex j -plane:

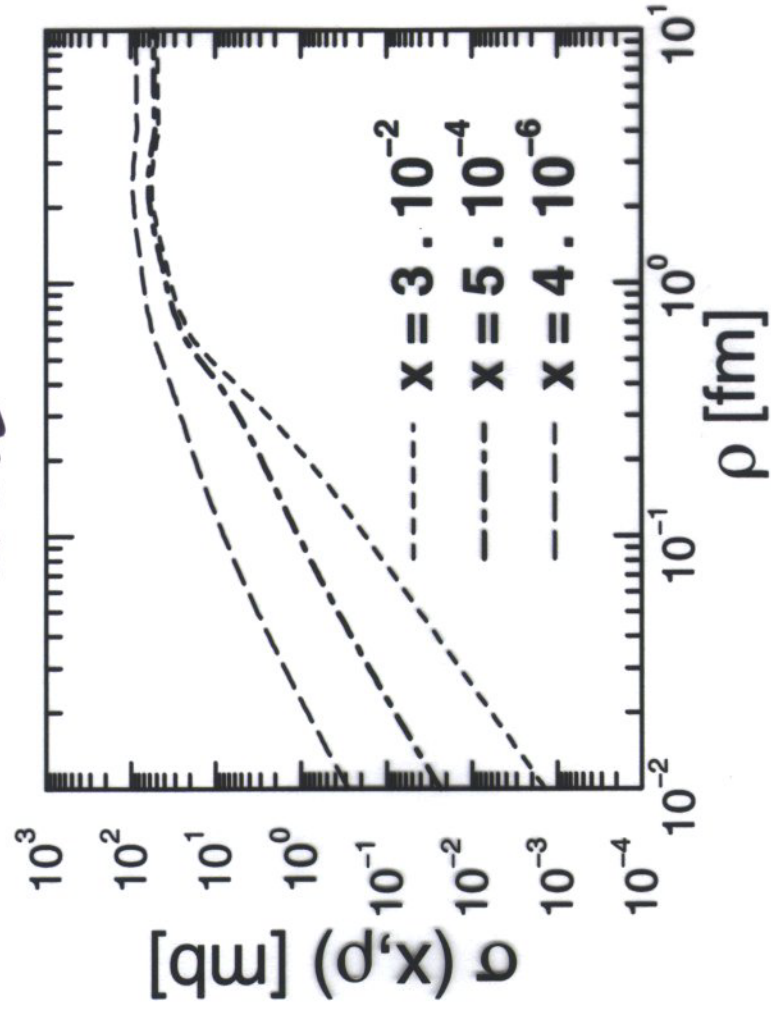


$$\sigma(x, r) = r \cdot \int_{-\infty}^{\infty} dv f(v) \exp[2iv \log r] \left(\frac{1}{x}\right)^{\Delta(v)}$$

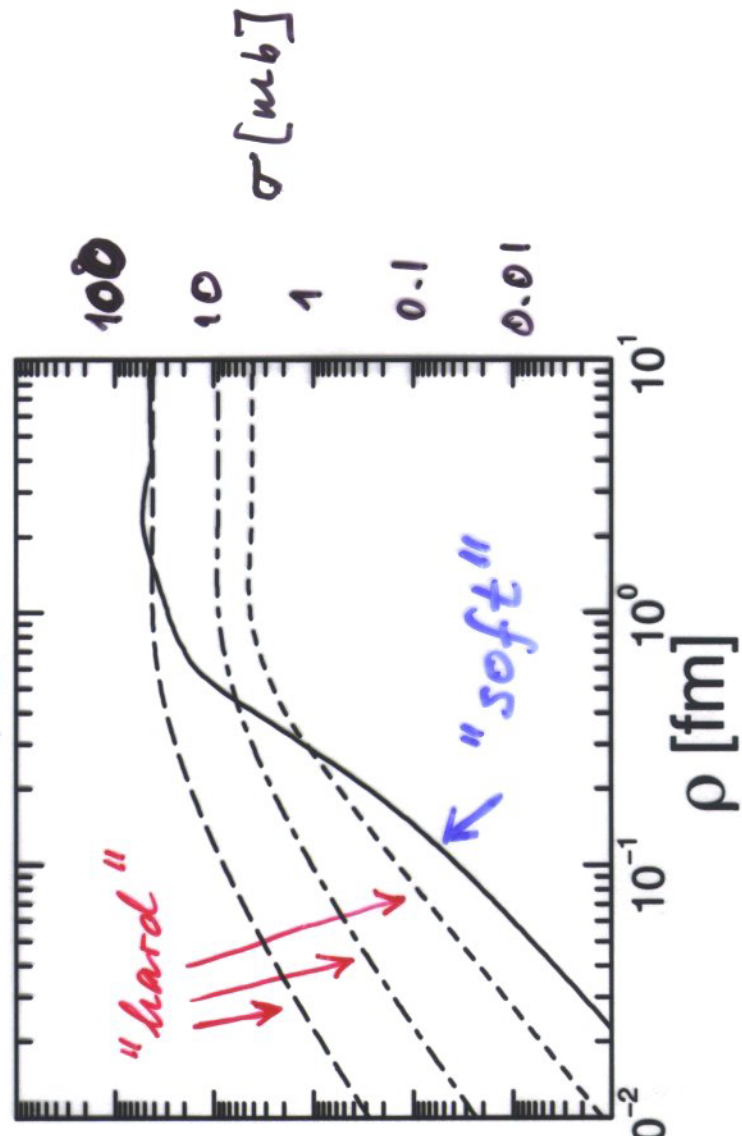
$$\Delta_P = \frac{12 \log 2}{\pi} \alpha_s$$

Color dipole cross section

total



soft/hard



diffraction / total :

$$F_2^D(x, Q^2) / F_{2p}(x, Q^2)$$

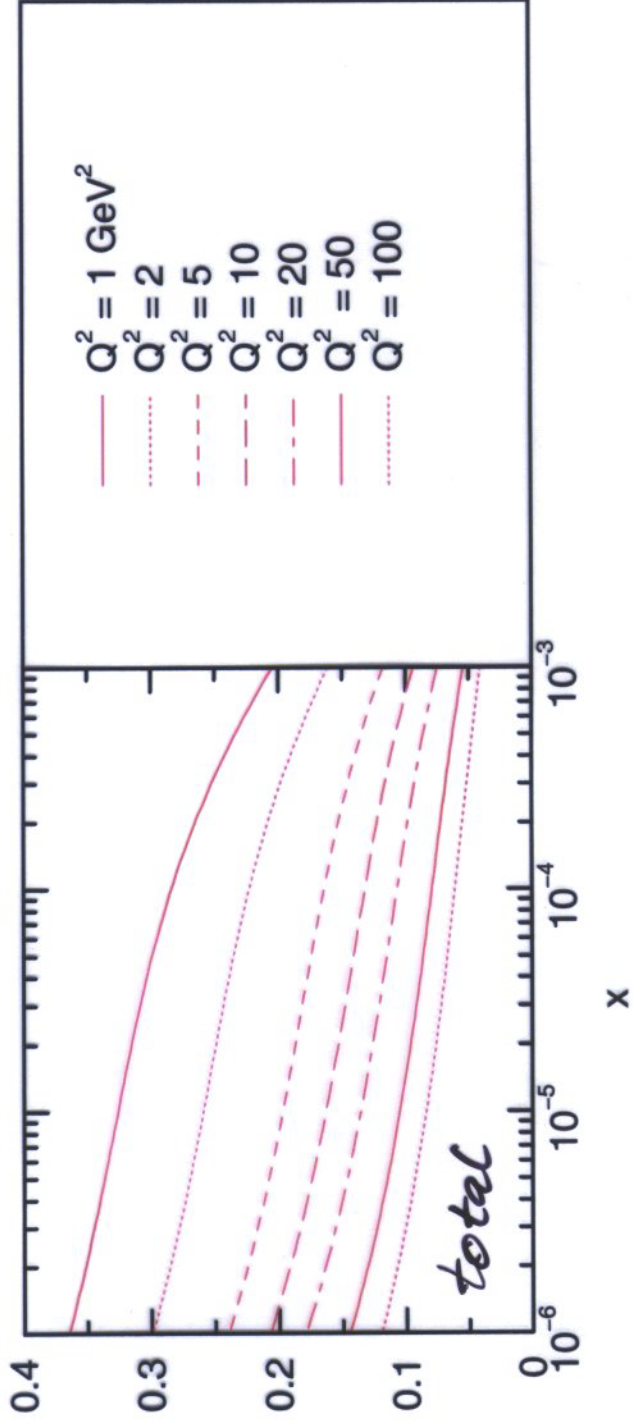
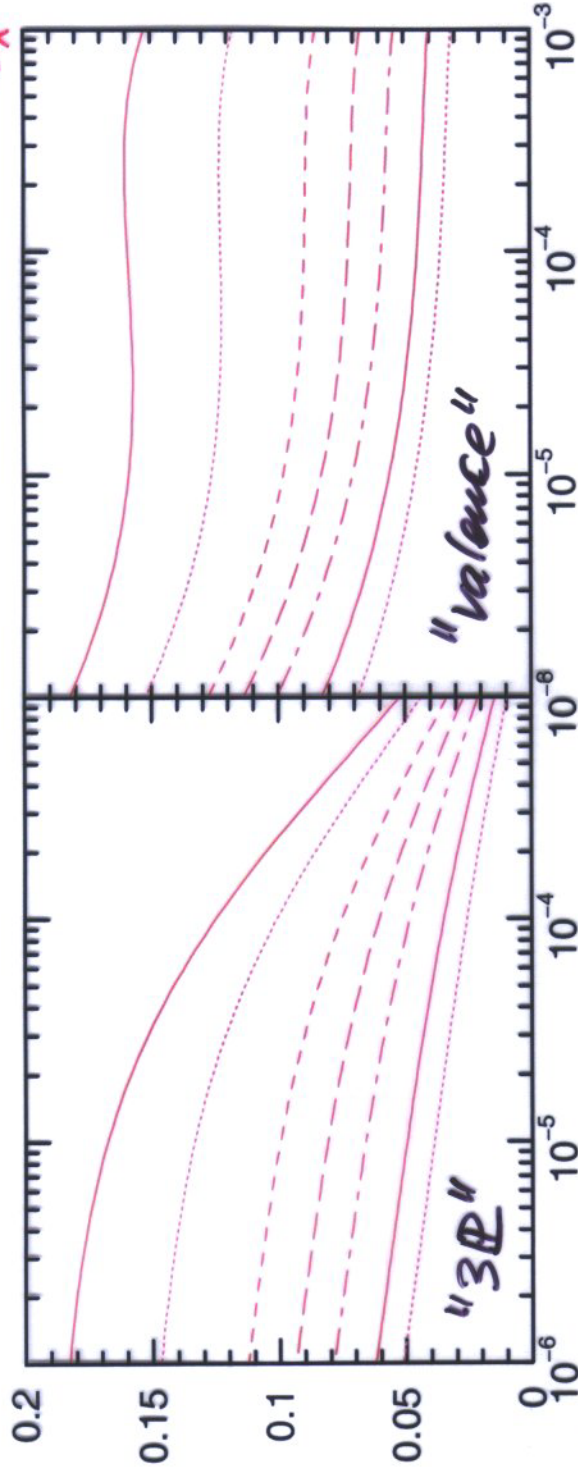
$$F_2^D(x, Q^2) \equiv \int \frac{dx_F}{x_F} F_2^{D(3)}(x_F, \frac{x}{x_F}, Q^2)$$

$$\int_0^{0.03} \frac{dx_F}{x_F} F_2^{D(3)}(x_F, \frac{x}{x_F}, Q^2)$$

$$\sim \int_0^{0.03} \frac{dx_F}{x_F} \phi(x_F) \cdot F_{2p}(\frac{x}{x_F}, Q^2)$$

photoproduction
at HERA:

$$\frac{\text{diff}}{\text{total}} \sim 22\%$$



- $AF \Rightarrow$ chromoelectric field in a color dipole be calculated with running $\alpha_s(\tau)$.

$\Rightarrow$ The **Regge cut** of the approximation $\alpha_s \equiv \text{const.}$ is split into a sequence of (infinitely many) **Regge poles**.

(Fadin, Kuraev, Lipatov '75)

Their intercepts satisfy: (Lipatov '86)

$$\Delta_n \simeq \frac{\Delta_P}{n+1}$$

Such a behavior is indeed found from the numerical solution of the eigenvalue equation:

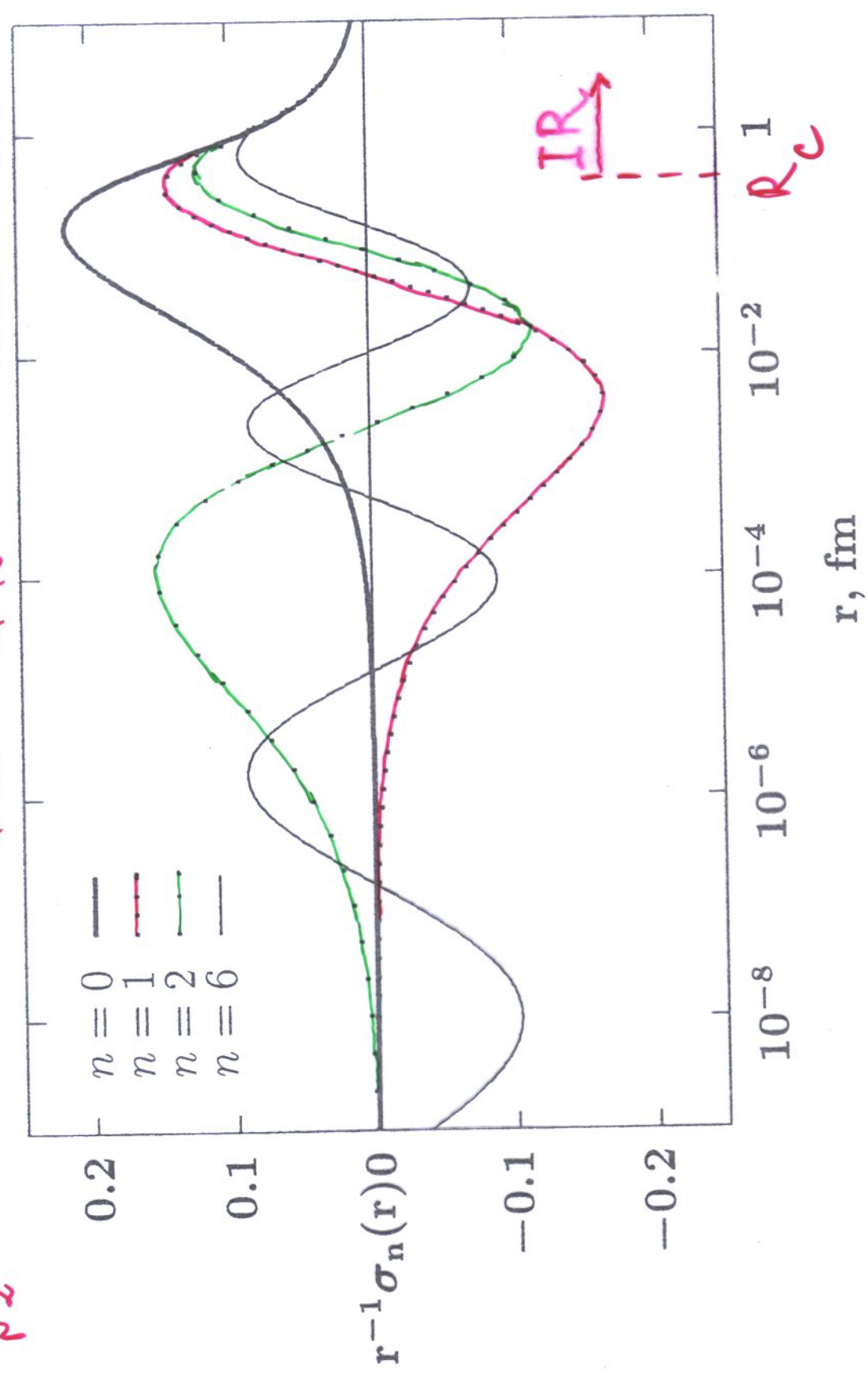
$$\Delta_m \sigma_m(\tau) = K \otimes \sigma_m(\tau)$$

(Nikolaev, Zakharov, Geller, '97)

The nodal properties of eigen-cross sections of CD BFKL equation
 NNN, B.G. Zakharov, V.R. Zoller 97

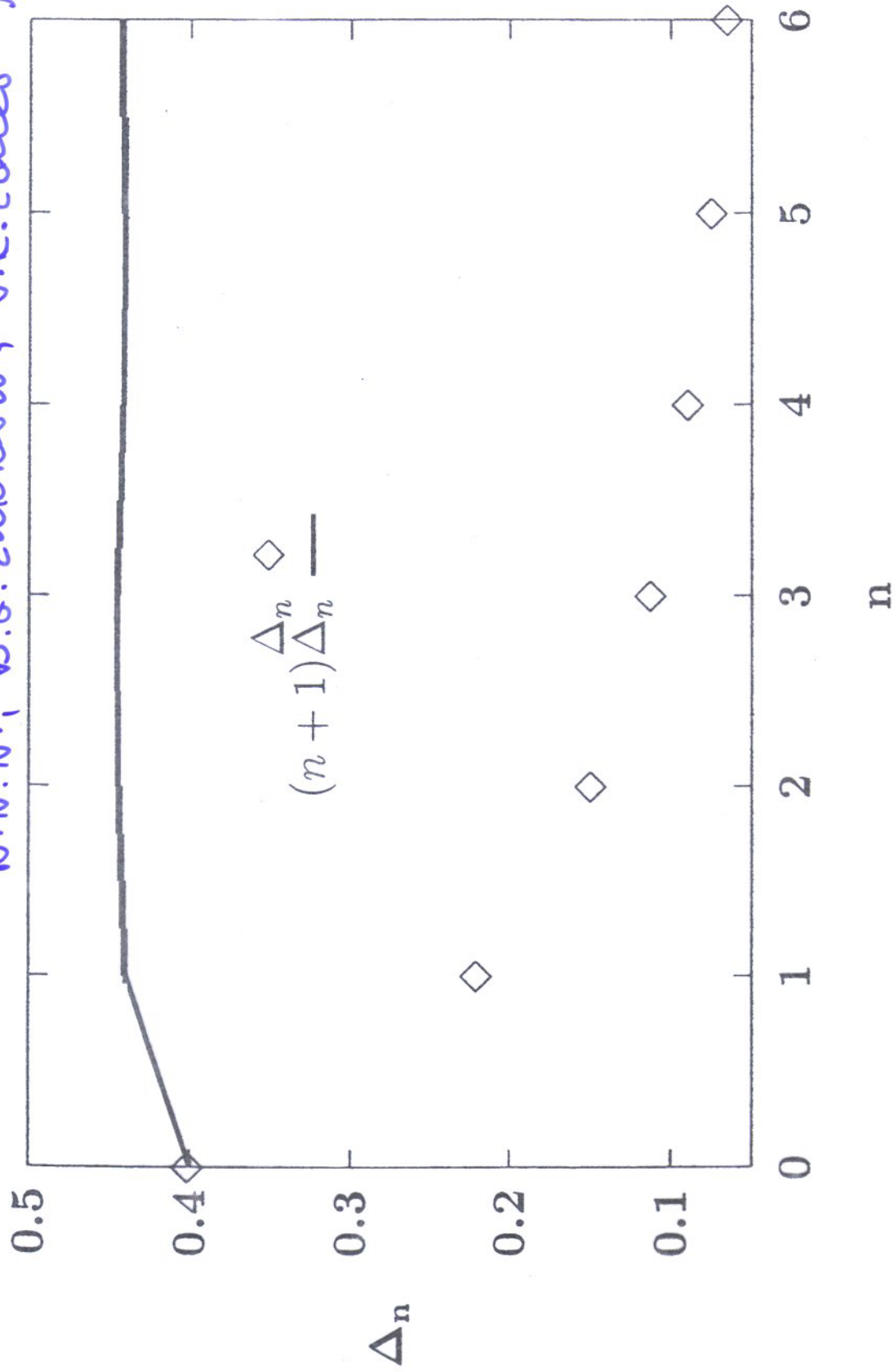
$$Q_{eff}^2 \sim \frac{10 \text{ GeV}^2}{r^2}$$

$4 \cdot 10^{11}$ $4 \cdot 10^7$ 4000 0.4



Intercept of the n -node CD BFKL pole

N.N.N., B.G. Zakharov, V.R. Zoller 97



- The practical BFKL-Regge phenomenology of $F_{2p}(x, Q^2)$

→ Take the IR-regulated 2-G exchange as boundary condition to the $\log(1/x)$ -evolution.

(might be too restrictive, though)

starting point x_0 is the sole parameter

! The so obtained hard $\sigma(x, r)$ is short of strength at $r > R_c$.

Add a purely phenomenological soft Pomeron for $r > R_c$.

Adjust the strength to $\sigma_{\pi N}$, diffraction etc...

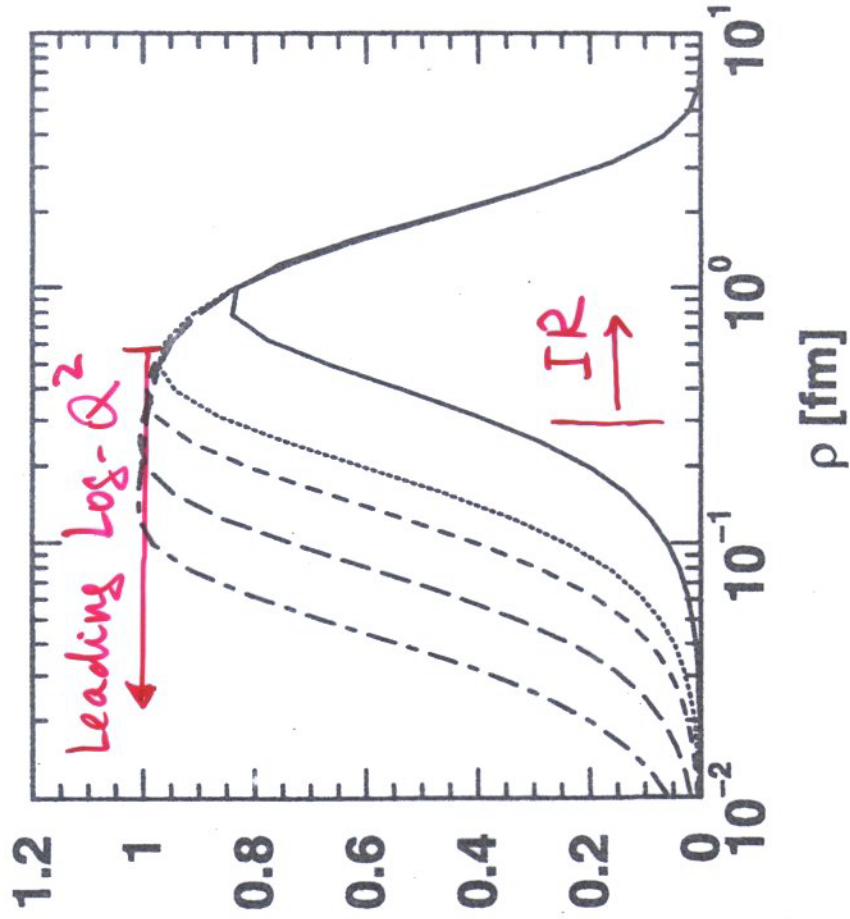
$$\Delta_{\text{soft}} = 0.$$

$$F_{2p}(x, Q^2) = \int_0^1 dz d^2\vec{r} |\psi_{g*}(z, r)|^2 \cdot \sigma(x, r) \cdot \frac{Q^2}{4\pi^2 \alpha_{em}}$$

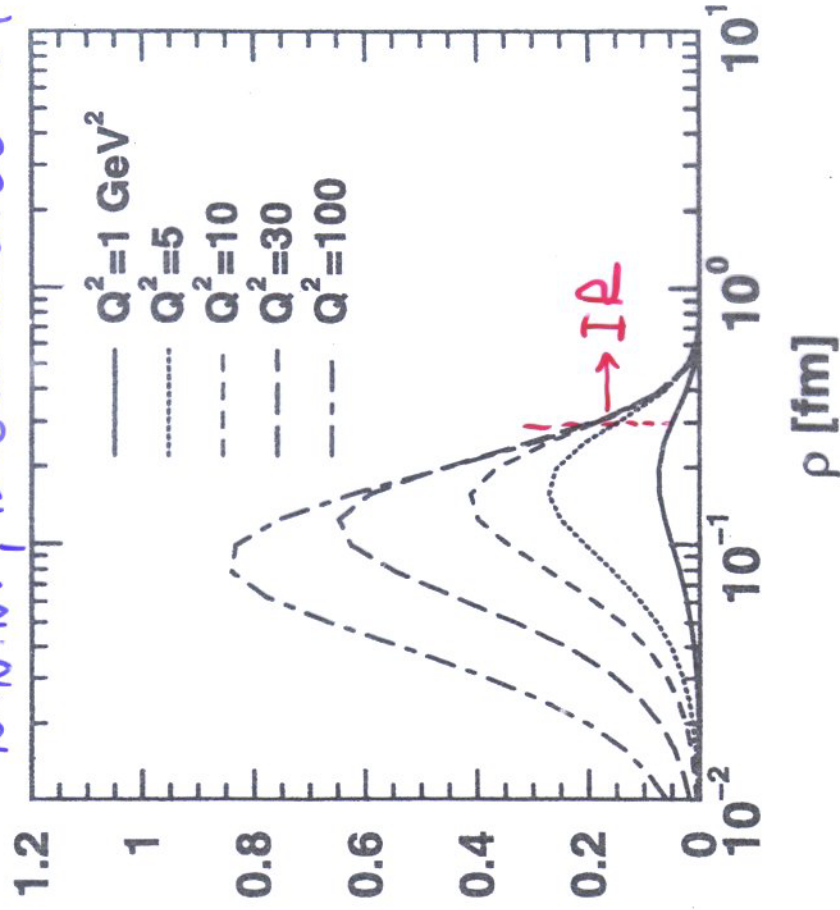
$$= \int \frac{d\tau^2}{\tau^2} \cdot \frac{\sigma(x, r)}{\tau^2} \cdot \Phi_2(Q^2, \tau^2)$$

- Plateau-like $\Phi_2 \rightarrow$ onset of the leading $\log Q^2$ regime.
- $r > R_c$ - the infrared sensitive contribution

$$\Phi_T^{u+d}(Q^2, \rho^2)$$



$$\Phi_T^{\text{charm}}(Q^2, \rho^2)$$



N.N.N., B.G.Zakharov 94

Figure 3.3: The kernel $\Phi_T(Q^2, \rho^2)$. The left panel shows the result for light flavours ($u\bar{u} + d\bar{d}$), the right panel is for charm $c\bar{c}$.

— full CD BFKL Regge

..... Rightmost hard BFKL + soft + valence

-- Rightmost hard BFKL + soft

soft
valence

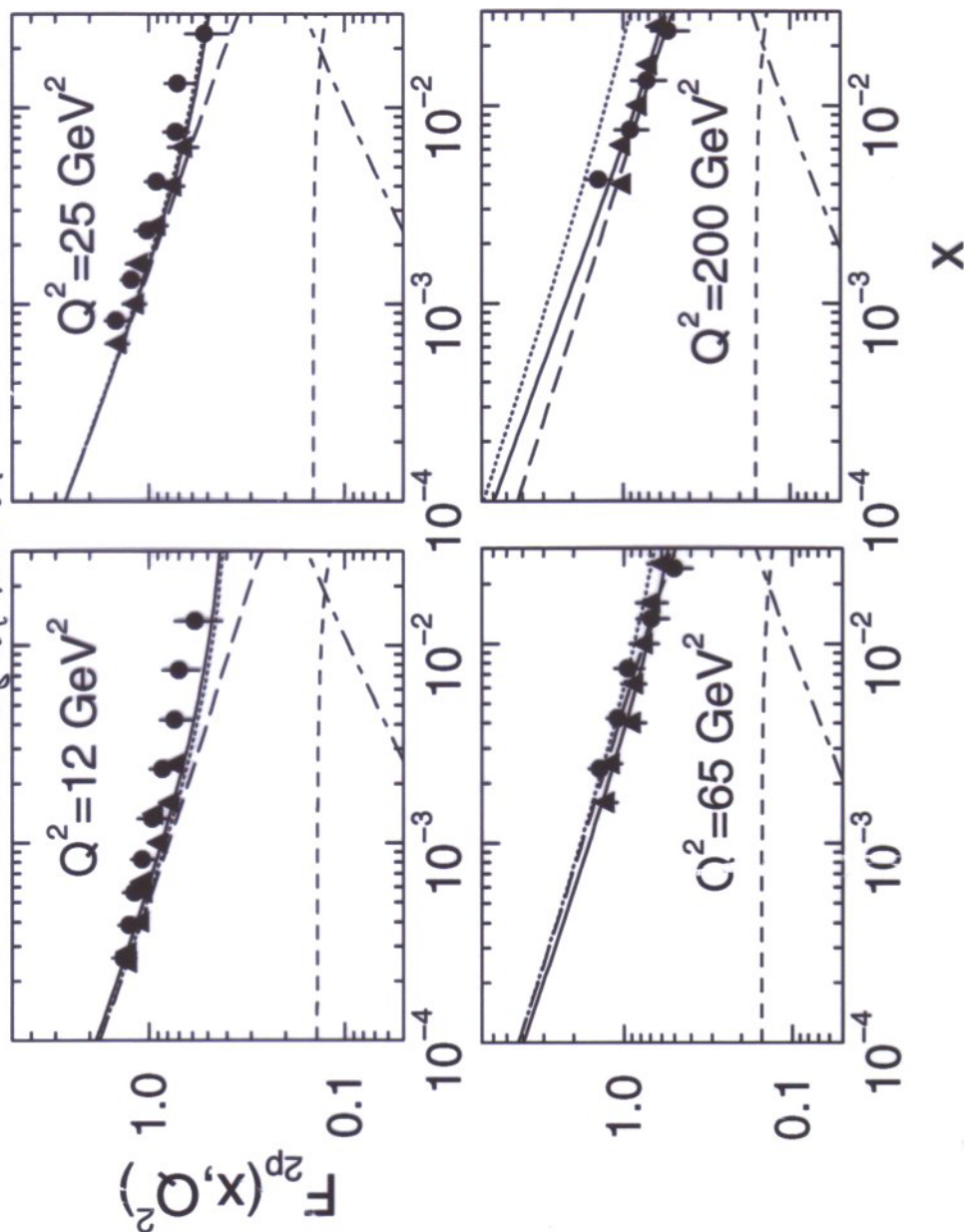
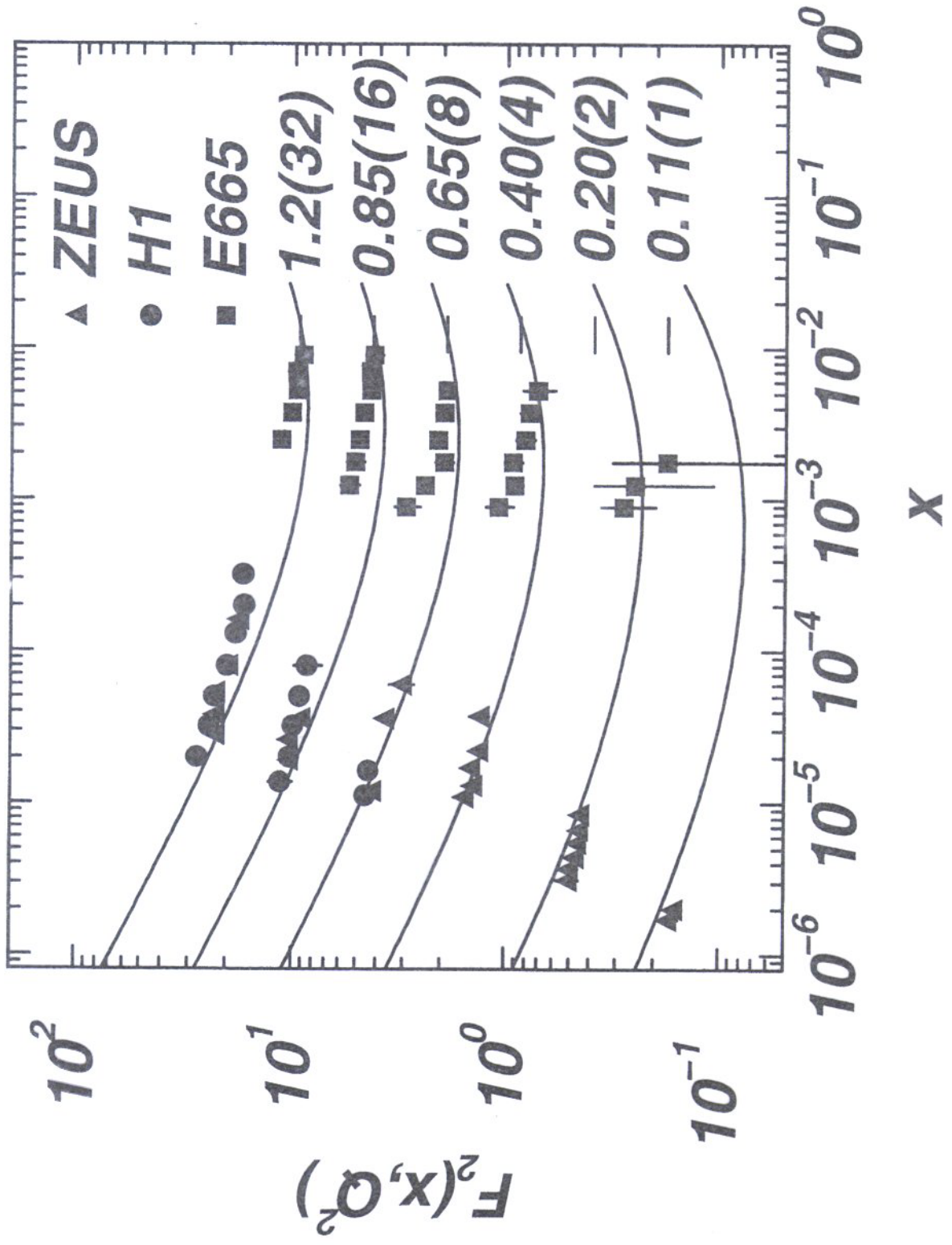


Fig. 2

NNN, V.R. Zoller 99

CD BFKL + Soft + Valence (GRV)

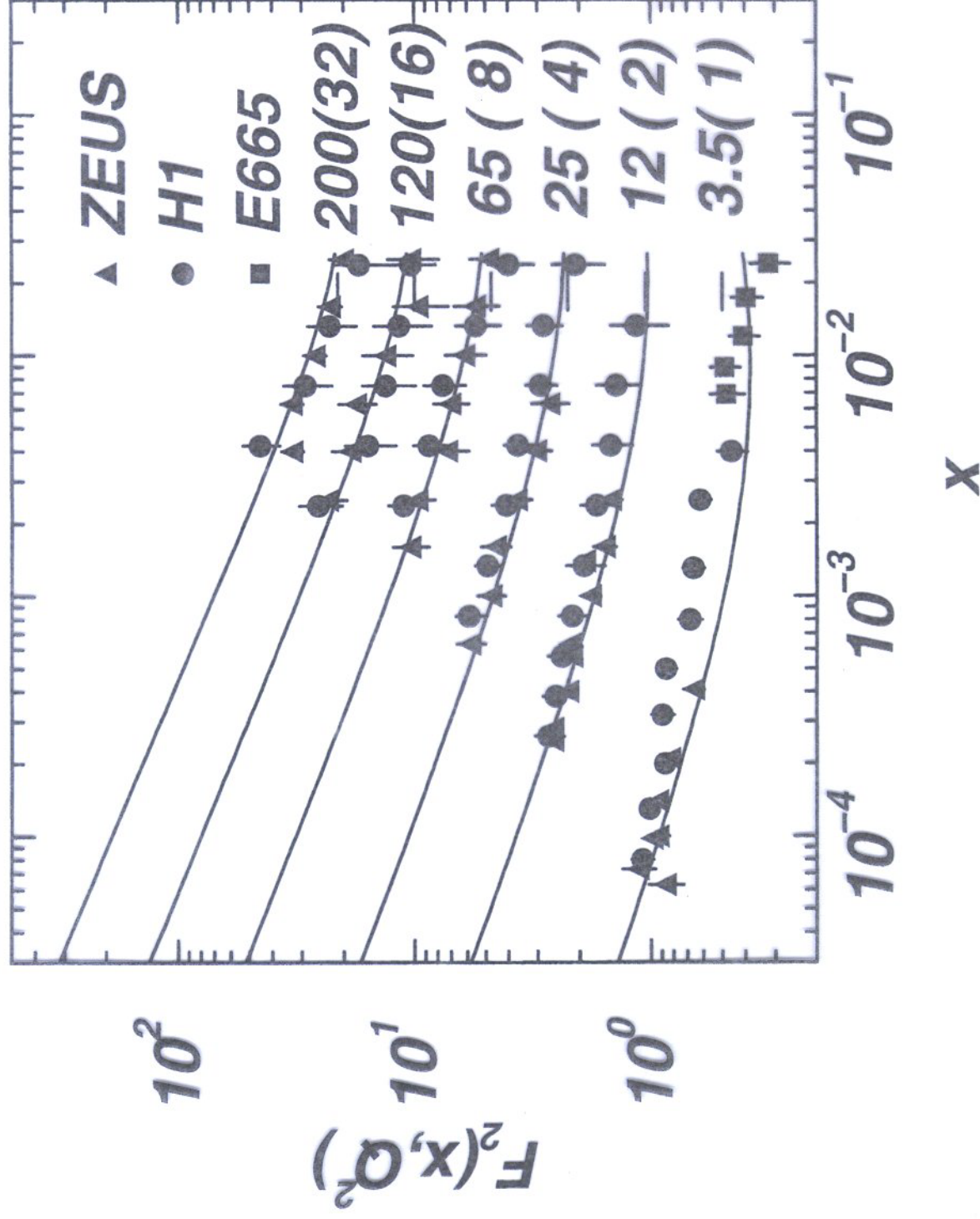


CD BFKL + Soft + Valence (GRV)

Natural 2G-exchange boundary condition for

CD BFKL

NNN
v.R. Zoller 98



- Extension to other targets:

beam-target interaction as dipole-dipole interaction.

⇒ Exploit the factorization properties of the BFKL-Regge poles:

$$\sigma(x, \underline{r}_b, \underline{r}_t) = \sum_n C_n \sigma(\underline{r}_b) \sigma(\underline{r}_t) \left(\frac{x_0}{x}\right)^{4n}$$

$$\Rightarrow \sigma_{bt} = \int d^2 \underline{r}_b \int d^2 \underline{r}_t |\Psi_b(\underline{r}_b)|^2 |\Psi_t(\underline{r}_t)|^2 \\ * \sigma(x, \underline{r}_b, \underline{r}_t)$$

⇒ predictions for $F_{2\pi}$ at small x ;

hard contribution to hadronic & real photon interactions.

⇒ There is a need for unitarization corrections.

Hard BFKL contribution to hadronic and real photon interactions
 NFN, V.R. Zoller
 gg

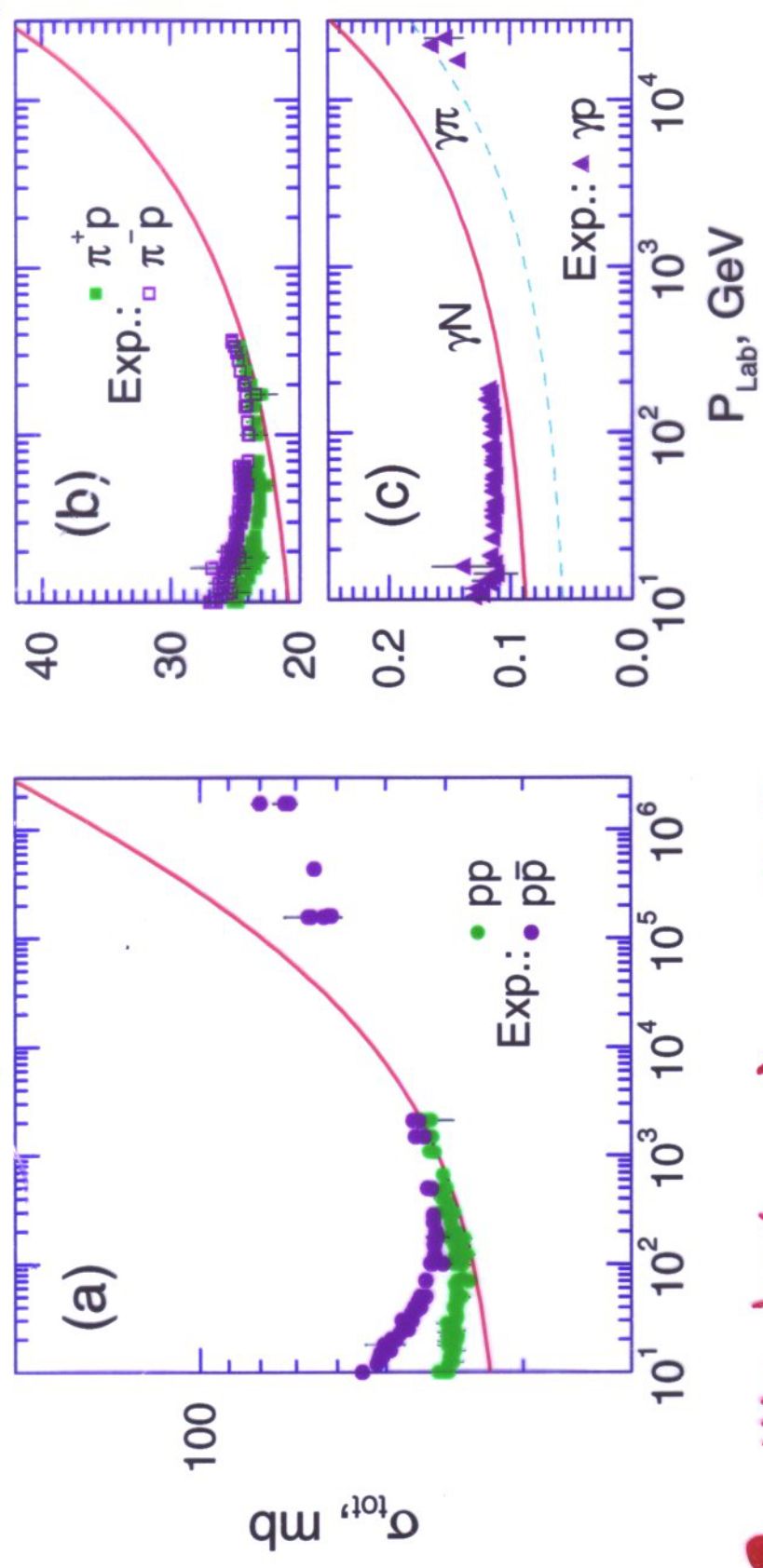
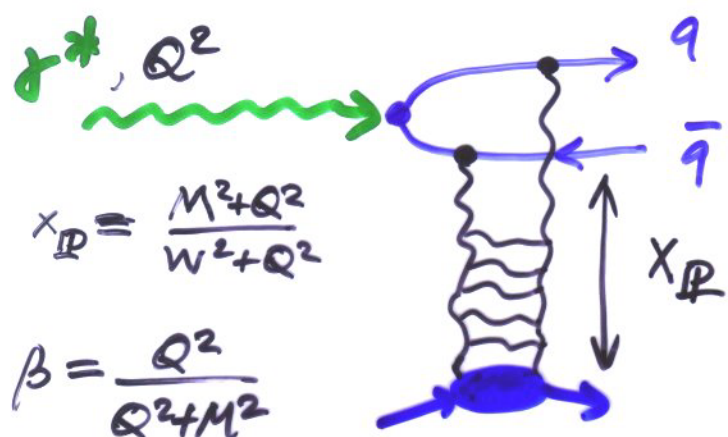


Fig. 3

! We had $\Delta_{soft} = 0$.

• BFKL diffusion from hard to soft scattering too strong at very high energy and invites unitarization by factor $\sim 2 \div 3$ at $x \sim 10^{-6}$. Not unfeasible.

- Diffraction dissociation $\gamma^* p \rightarrow X p$ of the virtual photon:



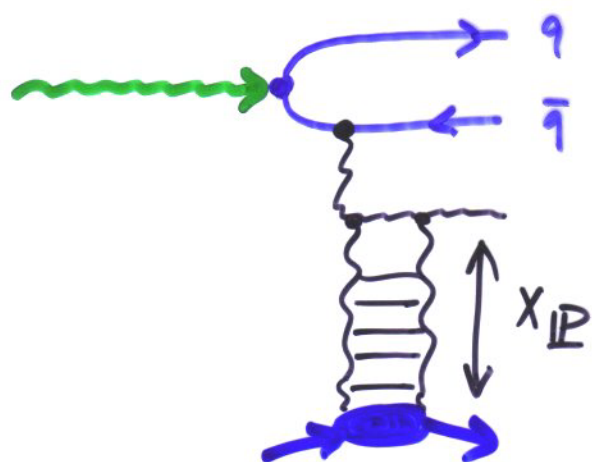
small mass excitation, large & moderate β .

$\Rightarrow$ valence quark SF of the P

$$F_{val}^{IP}(\beta) \propto \beta(1-\beta)$$

higher mass excitation smaller β

$\Rightarrow$ valence gluon SF of the P .



$$G_P(\beta) \sim (1-\beta)$$

Again, we take the x_{IP} -dependence into account through $\sigma(x_{IP}, \gamma)$.

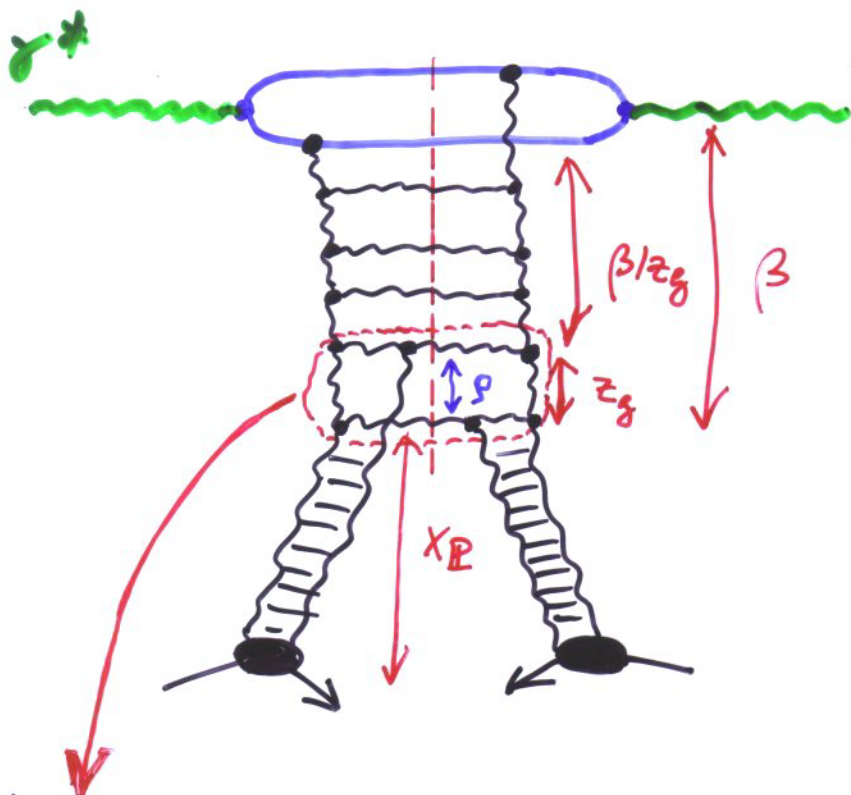
$\rightarrow$ Successful description of diffractive DIS at HERA.

Genovese, Nikolaev, Zakharov '94

Bartels, Ellis, Kowalski, Wüsthoff '98

Bartels & Royon '98, M. Bertini et al. '98.

... building up *high* mass states:



two-gluon WF of the $\mathbb{P}$:

$$|\Psi_{\mathbb{P}}(z_g, \vec{p}, x_E)|^2 = \frac{1}{\sigma_{tot}^{pp}} \cdot \frac{2}{\pi^4} \cdot \frac{1}{z_g} \cdot \left[\frac{\sigma_{gg}(x_E, p)}{p^2} \right]^2 \cdot F(p/p_c)$$

$$\sigma_{gg} = \frac{C_A}{C_F} \cdot \sigma = \frac{9}{4} \cdot \sigma$$

- Take the full β -dependence of the CD-Regge expansion into account. $|\Psi_{\mathbb{P}}|^2$ defines the target-WF.
- Remember that the hard, rising part of $\sigma(x_E, p)$ was short ranged!
Should be subject to *unitarization corrections*.

$$\sigma(x_E, p) \rightarrow \sigma^u(x_E, p) \text{ (e.g. eikonal)}$$

- CD-Regge expansion for the $\gamma^* \mathbb{P}$ cross section:

$$\begin{aligned} \sigma(\gamma^* \mathbb{P} | \beta, x_{\mathbb{P}}) &= \int d^2 z_1 d^2 \vec{\tau}_1 |\Psi_{\gamma^*}(z_1, \tau_1)|^2 \\ &\quad \cdot \int d^2 z_g d^2 \vec{p} |\Psi_{\mathbb{P}}(z_g, p, x_{\mathbb{P}})|^2 \\ &\quad \cdot \sigma(\tau_1, p, \beta/z_g) \end{aligned}$$

$$\sigma(\tau_1, p, \beta/z_g) = \sum_{m \geq 0} C_m \sigma_m(\tau_1) \sigma_m(p) \cdot \left[\frac{z_g \beta_0}{\beta} \right]^{4m}$$

$$\begin{aligned} \Rightarrow \sigma(\gamma^* \mathbb{P} | \beta, x_{\mathbb{P}}) &= \sum_m \sigma_m^{\gamma^*}(Q^2) \cdot C_m \\ &\quad \cdot \int d^2 \vec{p} |\varphi_{\mathbb{P}}(p, x_{\mathbb{P}})|^2 \sigma_m(\tau) \cdot \left[\frac{\beta_0}{\beta} \right]^{4m} \\ &\quad \cdot \int_{\beta}^1 \frac{dz_g}{z_g} z_g^{4m} (1-z_g)^2 \end{aligned}$$

$$(\text{where } |\Psi_{\mathbb{P}}|^2 = \frac{1}{z_g} \cdot |\varphi_{\mathbb{P}}|^2)$$

Recall that $|\varphi_{\mathbb{P}}|^2$ is subject to the unitarization.

$$\Rightarrow \sigma(\gamma^* \mathbb{P}, \beta, x_{\mathbb{P}}) = \sum_m \varphi_m(x_{\mathbb{P}}) \sigma_m(\gamma^* \mathbb{P}; \beta)$$

- Recall, that $\sigma(x_{\mathbb{P}}, p)$ consisted of two pieces:

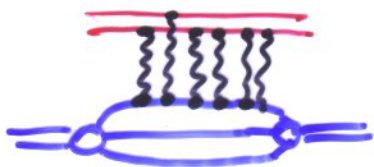
$$\sigma(x_{\mathbb{P}}, p) = \sigma_{pt}(x_{\mathbb{P}}, p) + \sigma_{np}(p)$$

↑
short range,
strong $x_{\mathbb{P}}$ -dep.
⇒ unitarization.

↑ long range, $x_{\mathbb{P}}$ -indep.
no unitarization.

The hard, driving piece of the $x_{\mathbb{P}}$ -dep. was of short range $\sim R_c$.

⇒ Additive quark counting for scattering on the proton emerges:



$$R_c^2 / R_p^2 \ll 1$$

⇒ The relevant profile function is the one for dipole-quark scattering:

$$\Gamma_0(x_{\mathbb{P}}, p, \vec{b}) = \frac{1}{3} \cdot \frac{\sigma_{pt}(x_{\mathbb{P}}, p)}{4\pi B(x)} \cdot \exp\left[-\frac{\vec{b}^2}{2B(x)}\right]$$

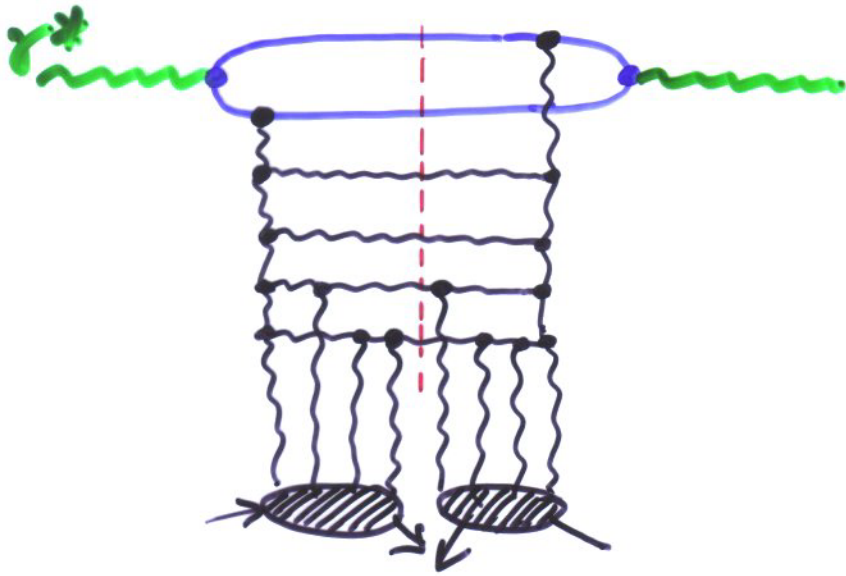
$$B(x) = \frac{1}{3} R_c^2 + 2\alpha_{\mathbb{P}} \log\left(\frac{x_0}{x}\right); \quad \alpha_{\mathbb{P}} = 0.07 \text{ GeV}^2$$

$$R_c \sim 0.2 \div 0.3 \text{ fm}$$

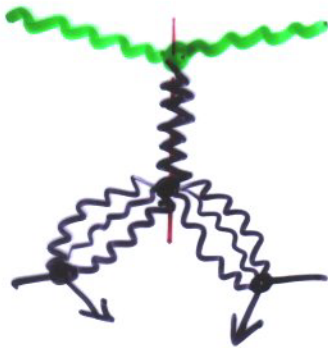
⇒ eikonal:

$$\sigma_{pt}^U(x_{\mathbb{P}}, p) = 2 \cdot \int d^2\vec{b} [1 - \exp[-\Gamma_0(x_{\mathbb{P}}, p, \vec{b})]]$$

- The dominant unitarization corrections look like:



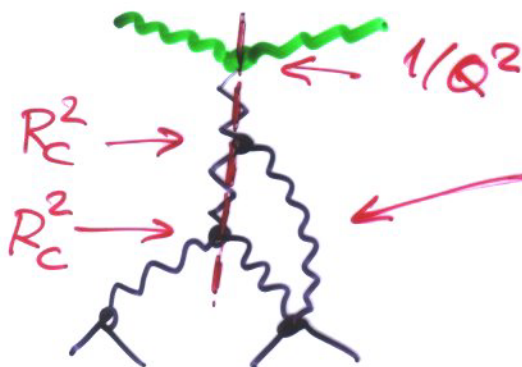
or if reinterpreted in terms of Pomeron diagrams:



etc...

with many $\mathbb{P}$'s coupled into one point.

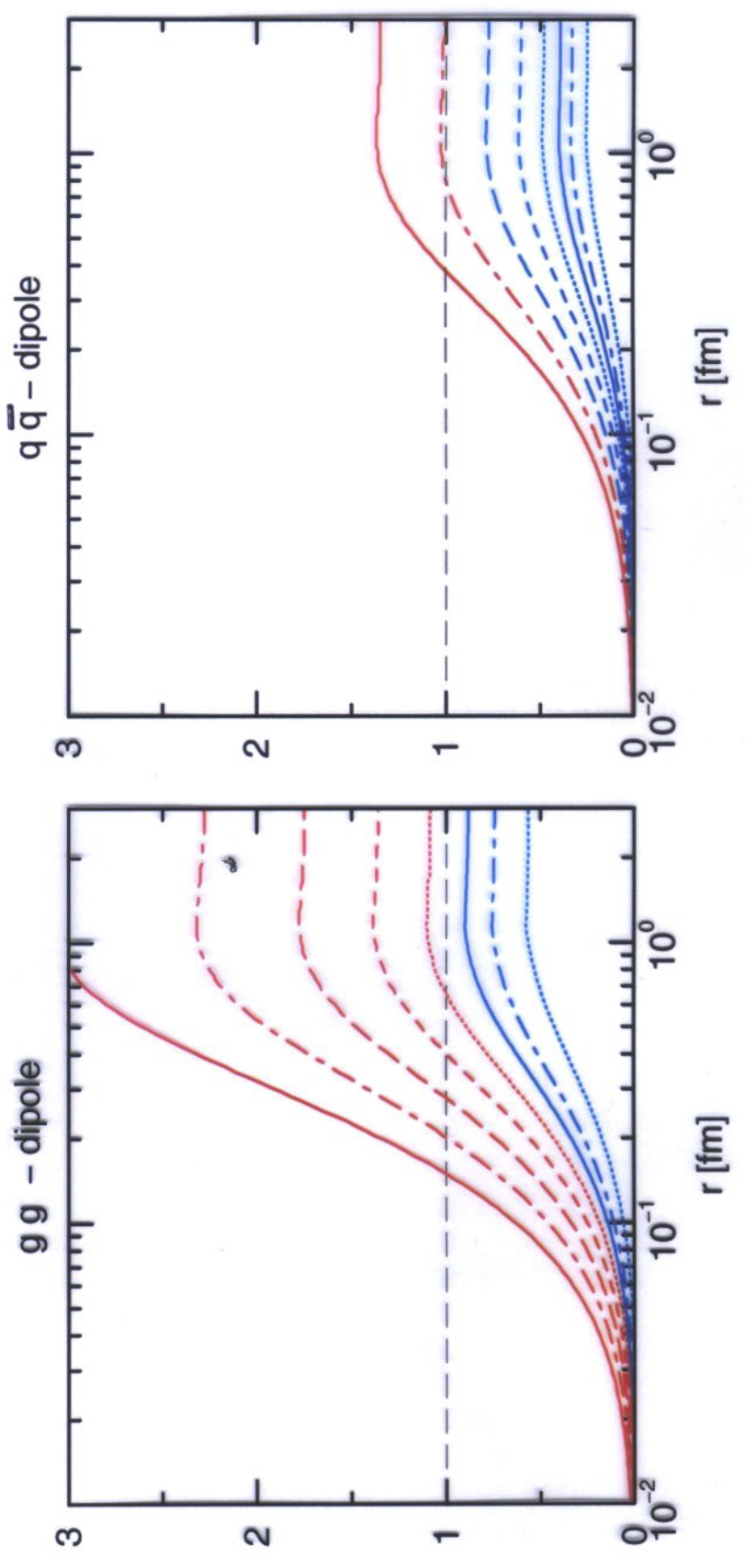
The typical Fan-diagram shall in comparison lose in the β -dependence:



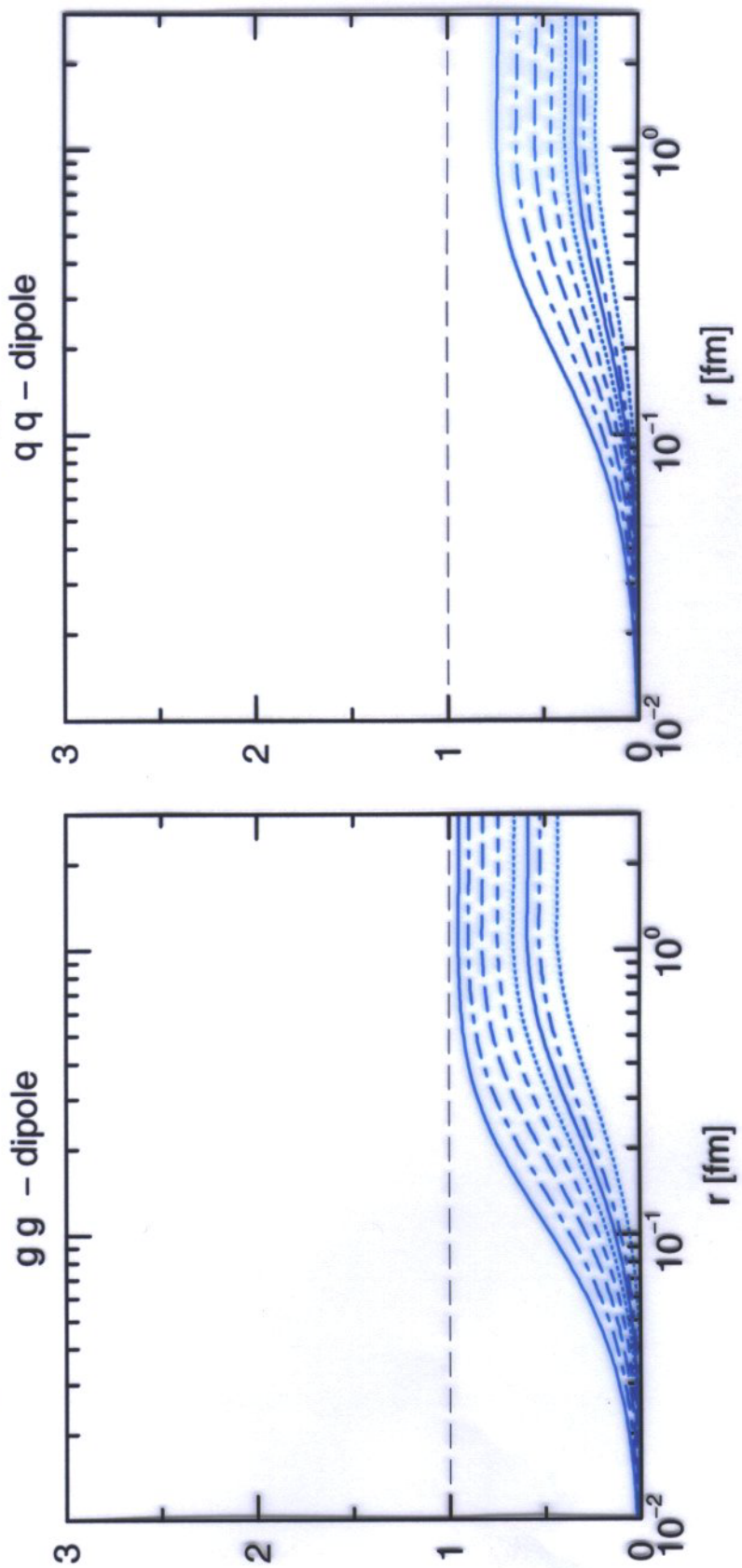
coupling this $\mathbb{P}$ into lower vertex would give the whole β -span evolution from $1/Q^2$ to R_c^2 !

- $x = 0.03$
- - - $x = 0.0015$
- $x = 5 \cdot 10^{-4}$
- $x = 2 \cdot 10^{-4}$
- - - $x = 7 \cdot 10^{-5}$
- - - $x = 2 \cdot 10^{-5}$
- - - $x = 1 \cdot 10^{-5}$
- $x = 4 \cdot 10^{-6}$

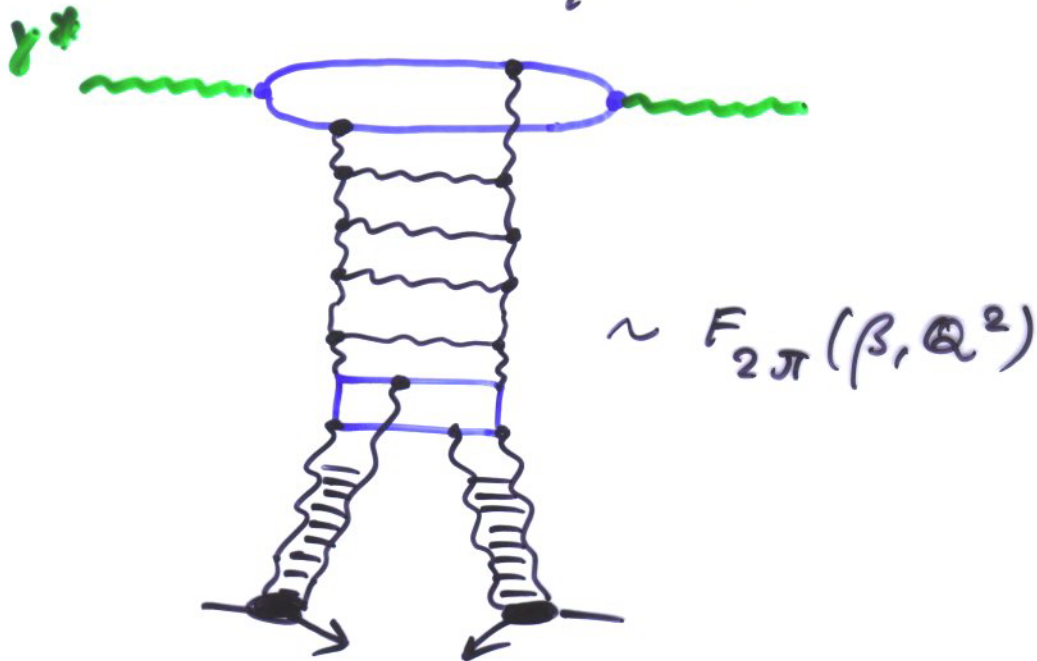
$\Gamma(x, r, b=0)$



$$\Gamma_U(x,r,b=0) = 1 - \exp(-\Gamma(x,r,b=0))$$

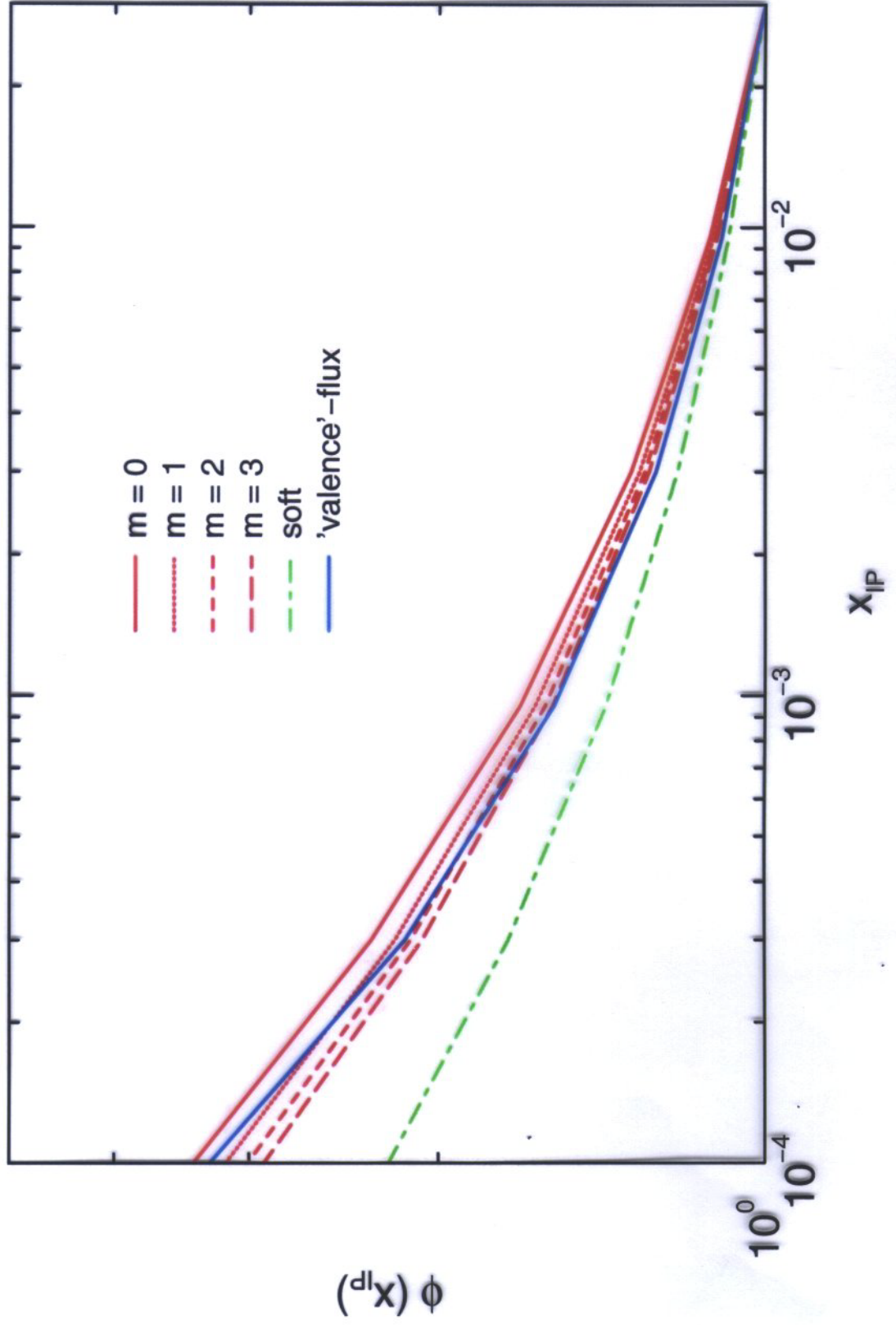


- We also have to account for the sea, that evolved from the input valence quarks:

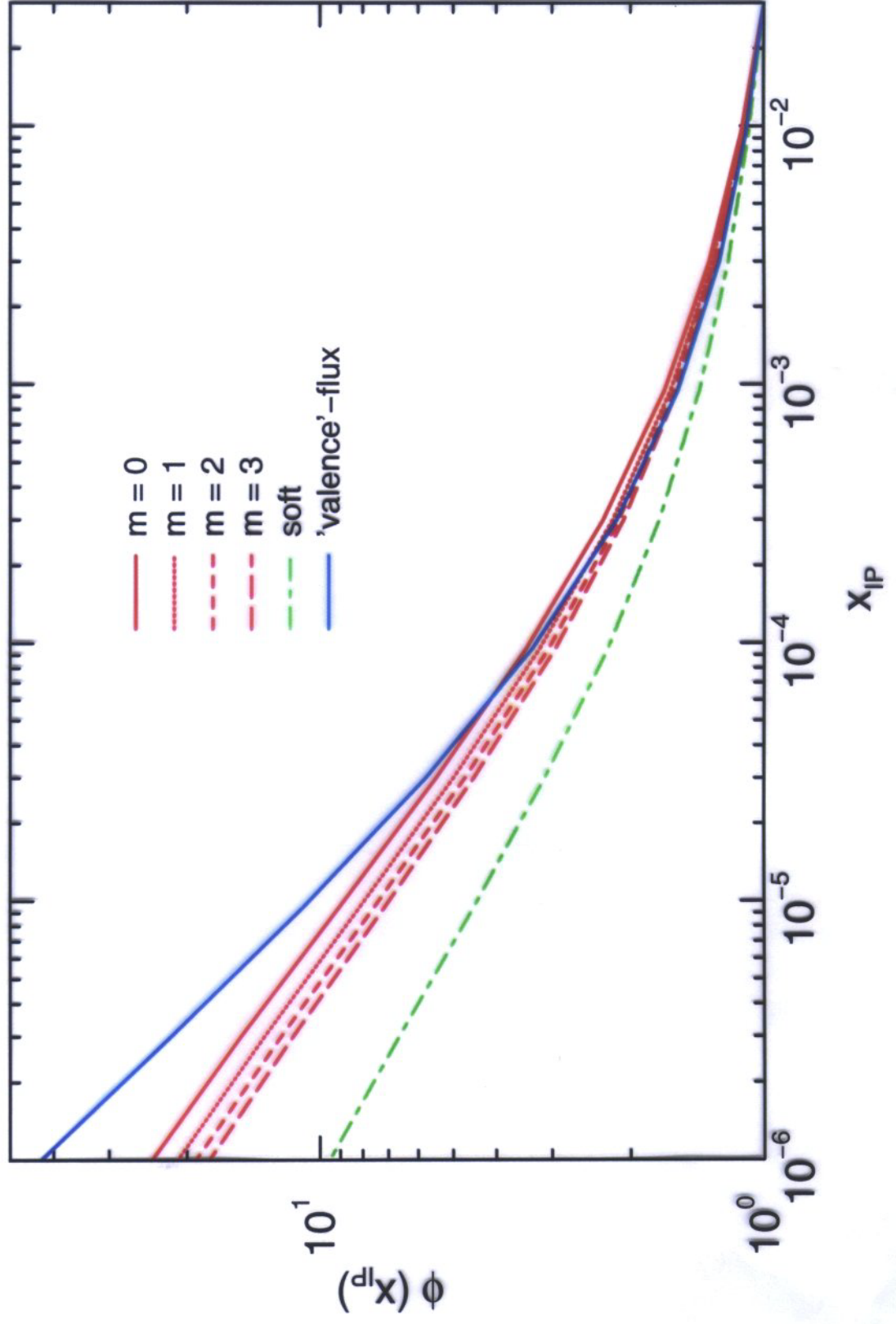


Here the cross section that is to be unitarized, is down by a factor $9/4$ compared to the gg -dipole!

Unitarized Pomeron fluxes $\phi(x_P)$
 ... almost universal.

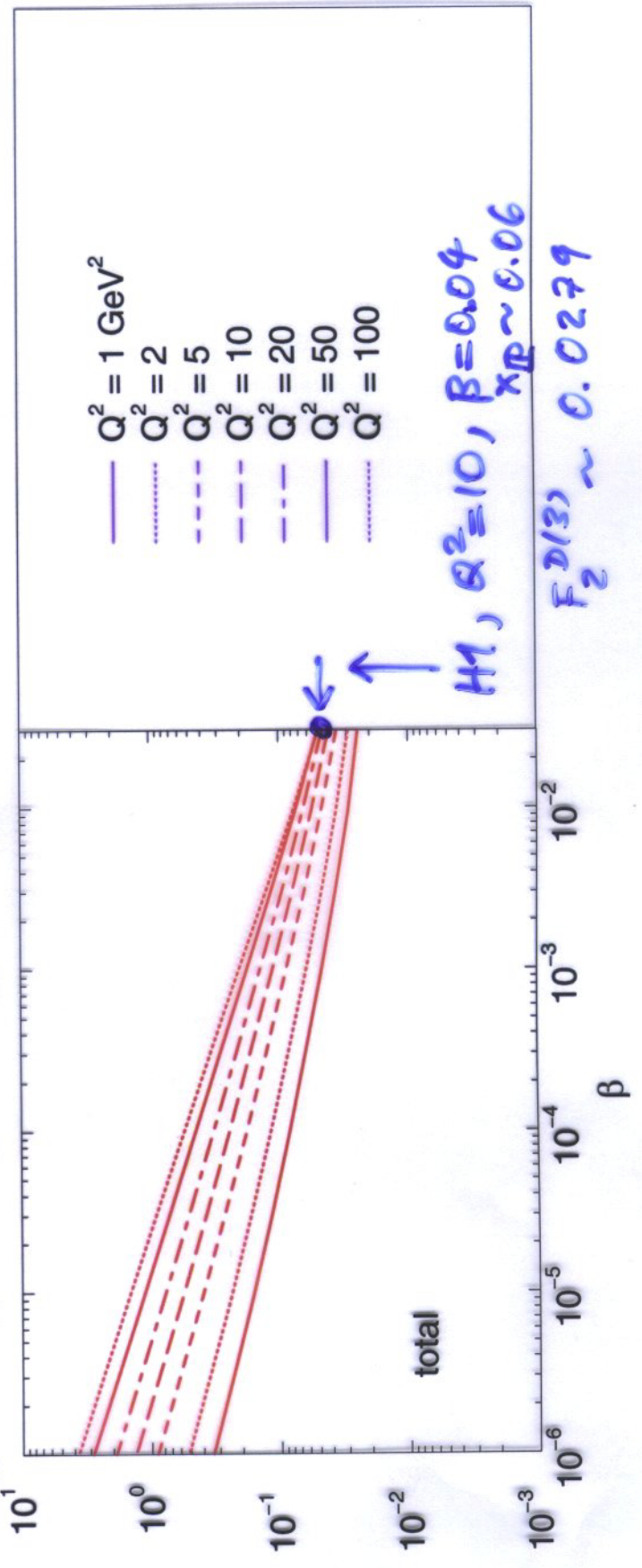
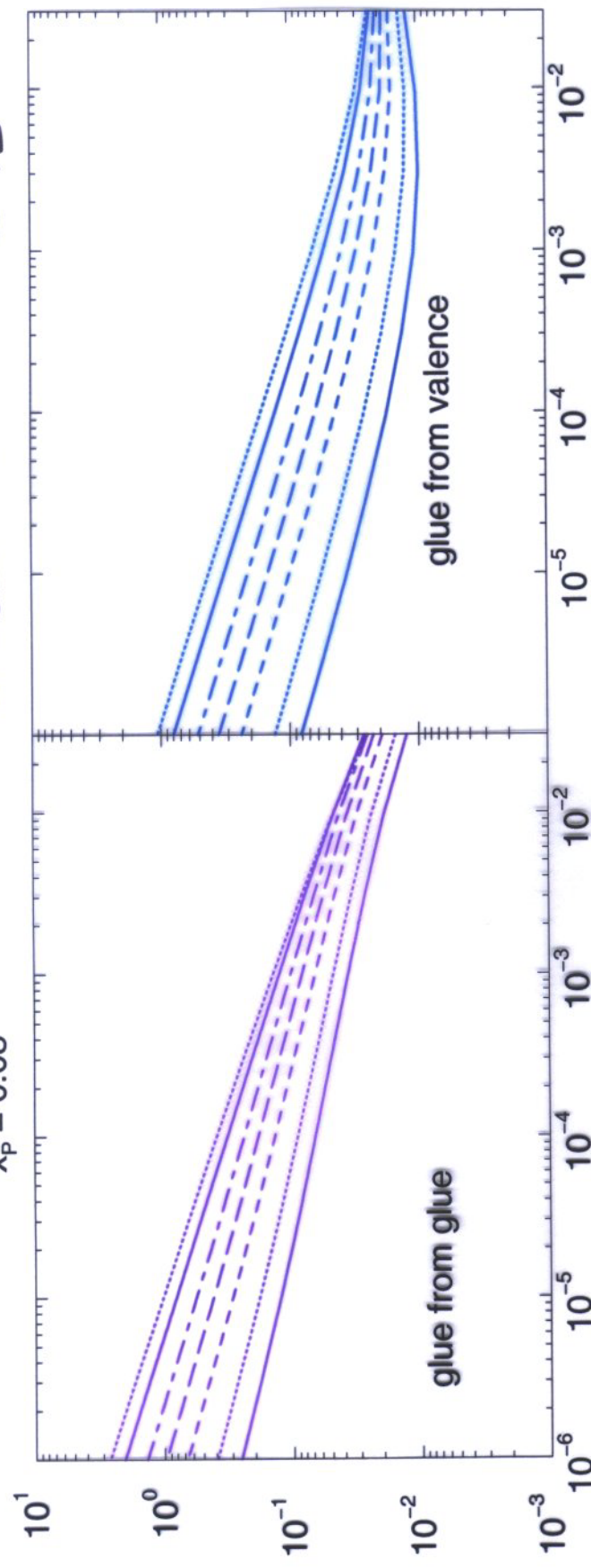


Unitarized D -fluxes in a wide range of X_D .



$$F_2^{D(3)}(x_p, \beta, Q^2) \stackrel{=}{=} \frac{Q^2}{4\pi^2\alpha_{em}} \cdot \int dp_L^2 \times \frac{d\sigma}{dp_L^2 dp_L^2}$$

$x_p = 0.03$

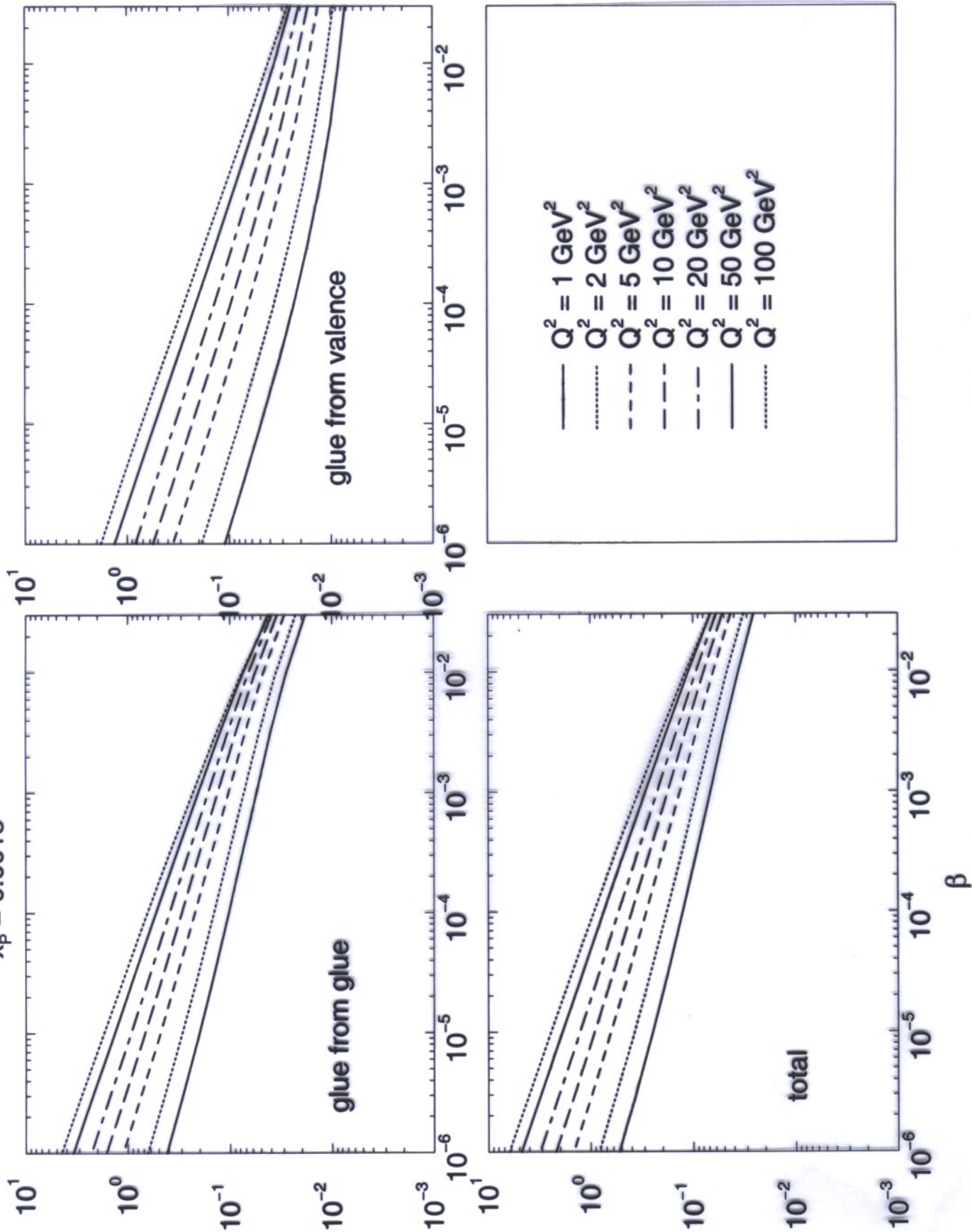


$B_{3D} \sim 6 \text{ GeV}^{-2}$
 $B_{val} \sim 10 \text{ GeV}^{-2}$

$H1, Q^2=10, \beta=0.04$
 $x_p \sim 0.06$
 $F_2^{D(3)} \sim 0.0279$

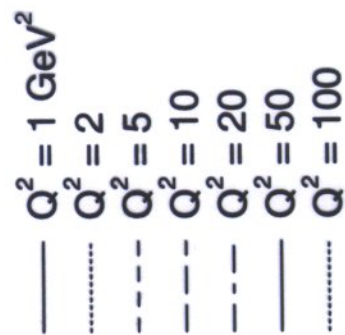
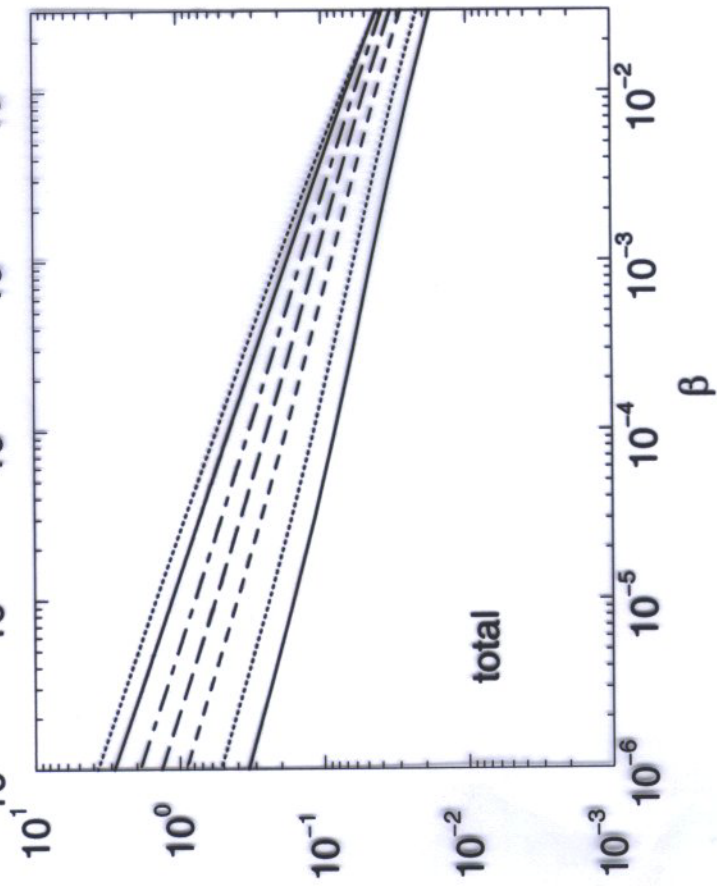
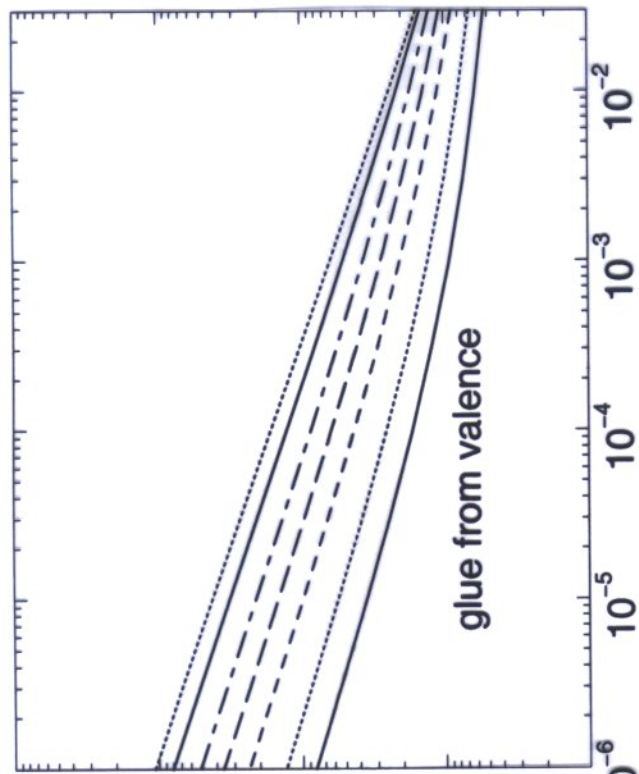
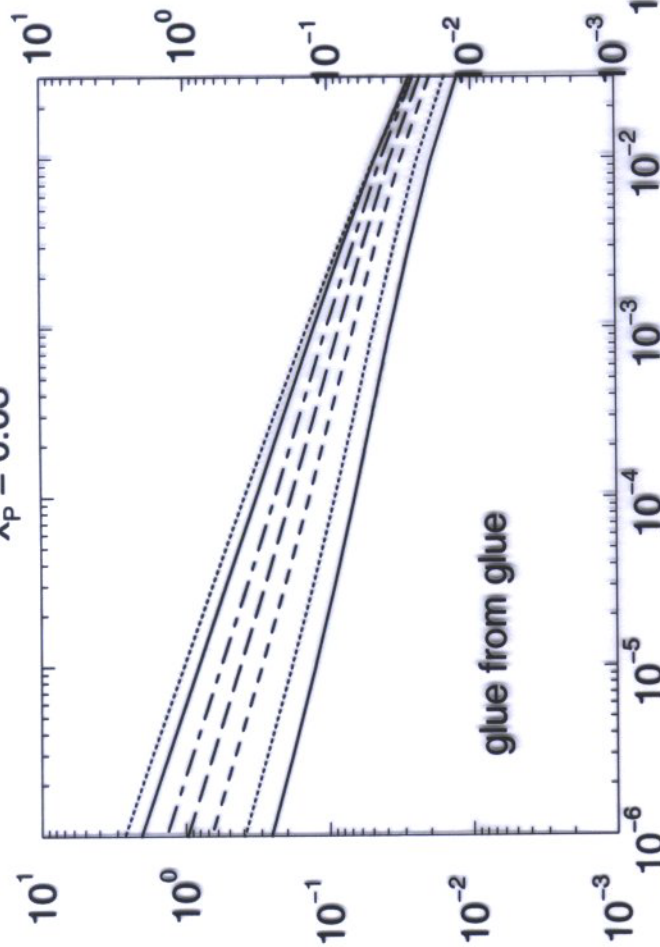
$$F_2^{D(3)}(x_p, \beta, Q^2)$$

$$x_p = 0.0015$$



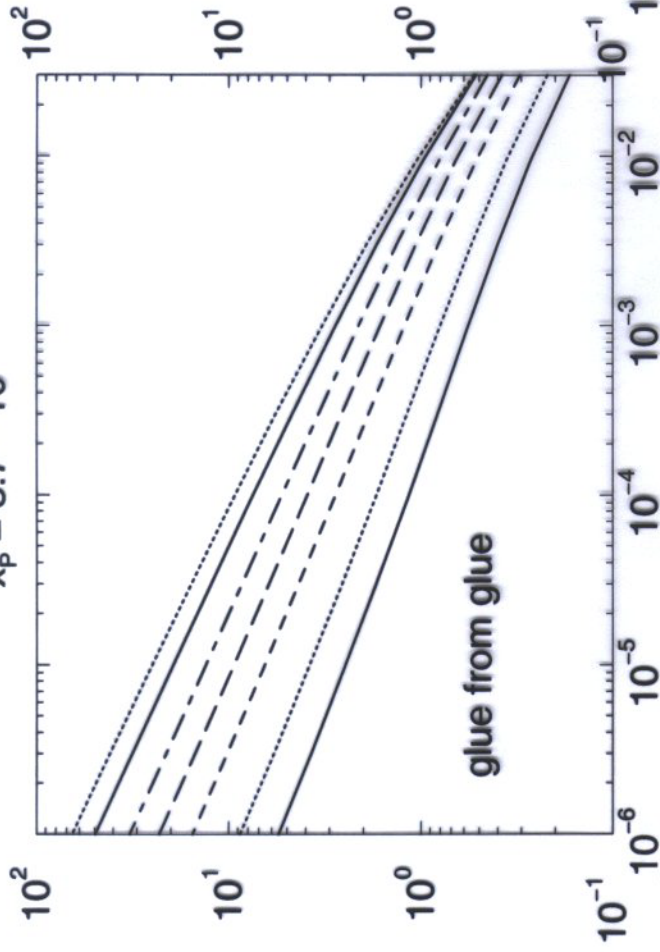
$$F_2^{D(3)}(x_p, \beta, Q^2)$$

$$x_p = 0.03$$

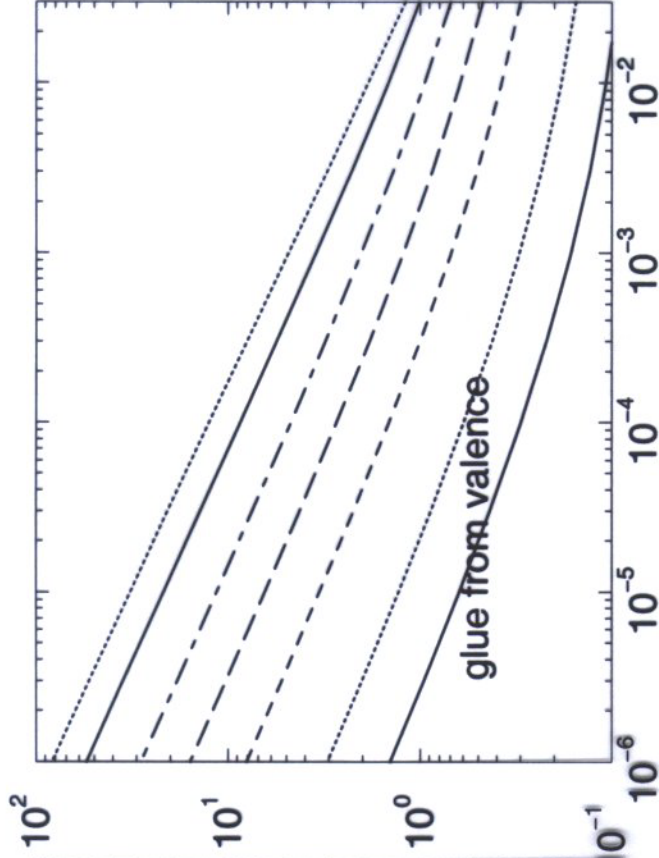


$$F_2^{D(3)}(x_p, \beta, Q^2)$$

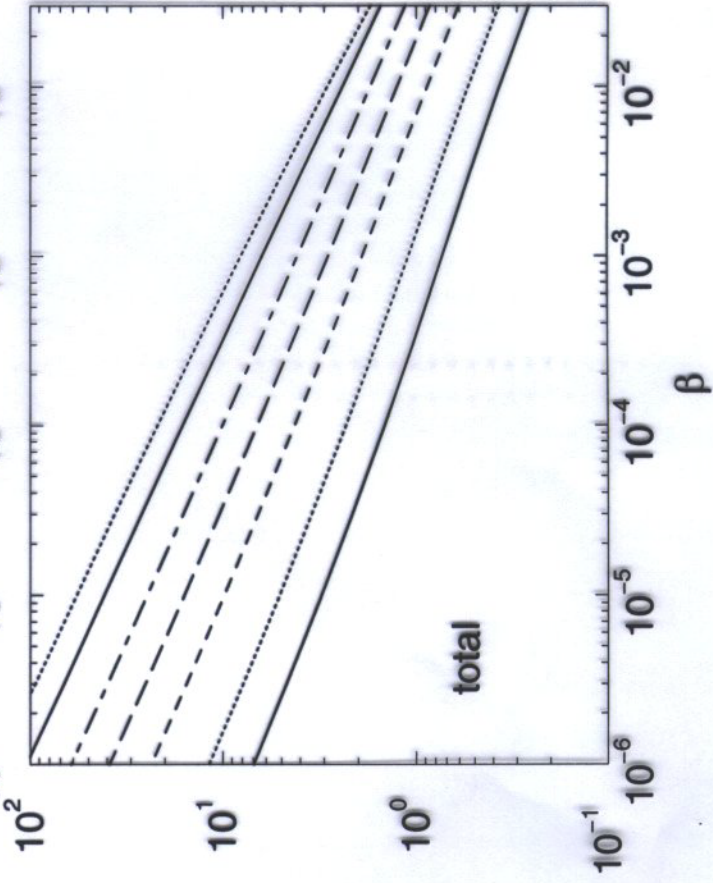
$$x_p = 3.7 \cdot 10^{-6}$$



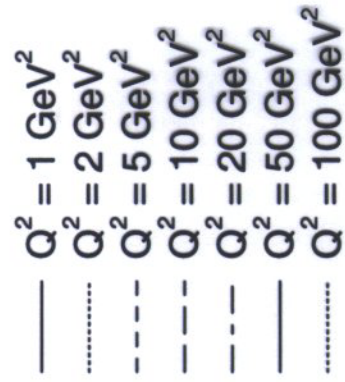
glue from glue



glue from valence

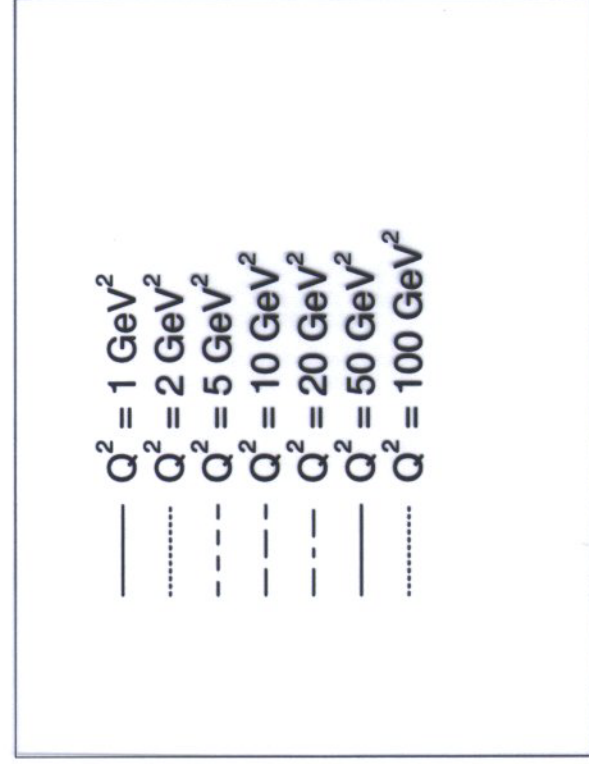
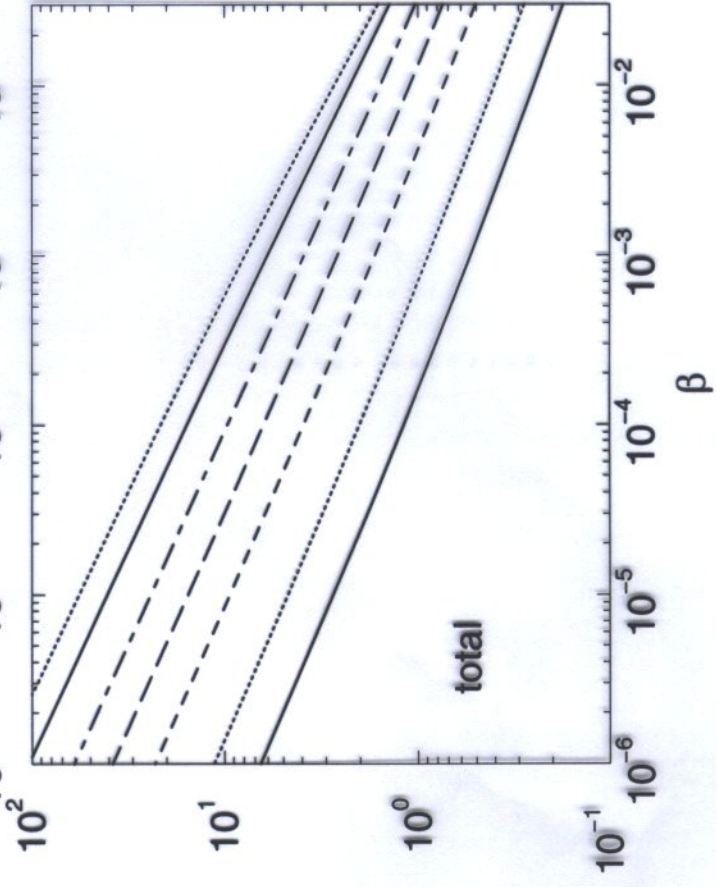
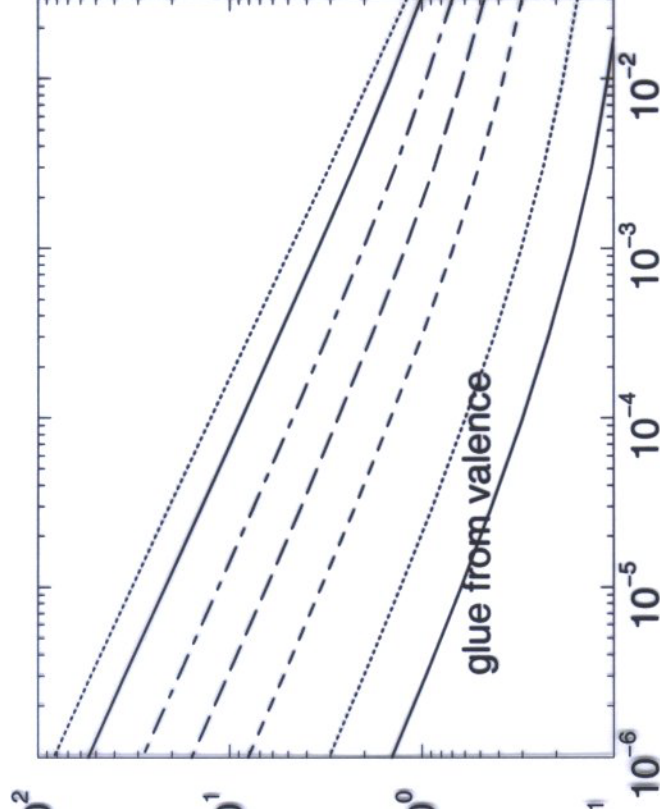
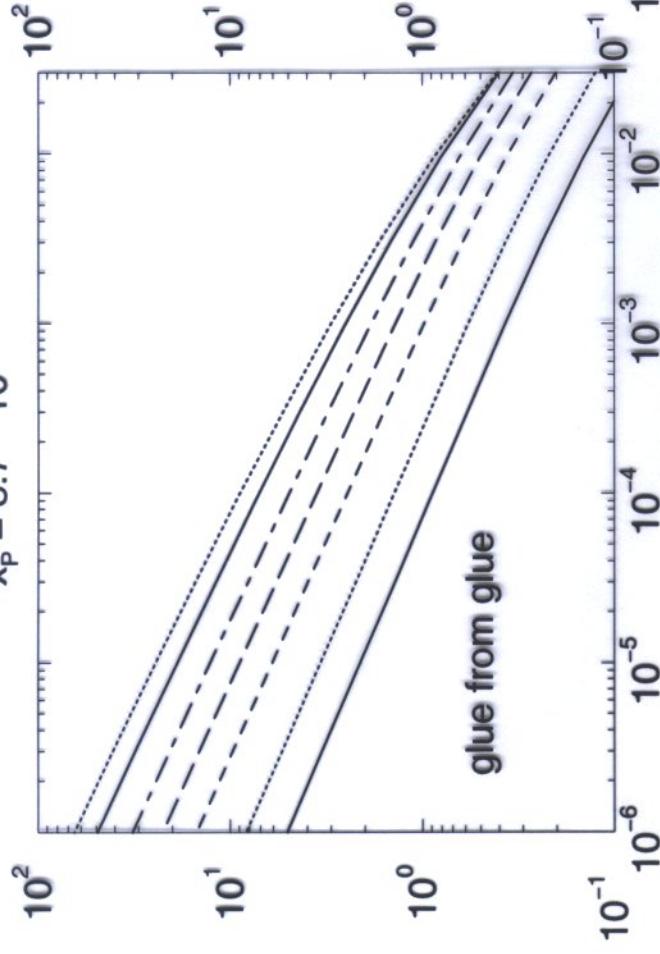


total



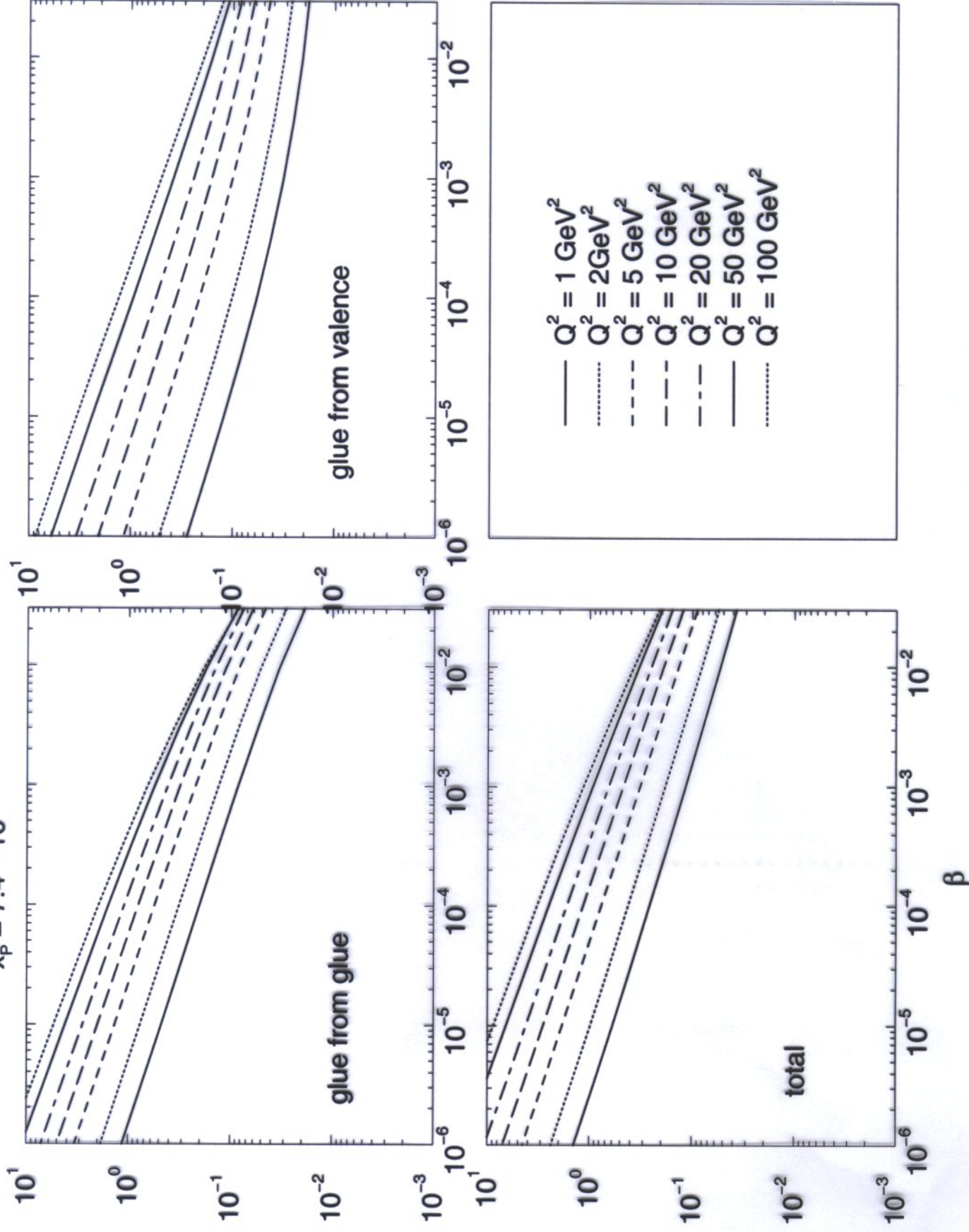
$F_2^{D(3)}(x_p, \beta, Q^2)$, no soft exchange

$$x_p = 3.7 \cdot 10^{-6}$$



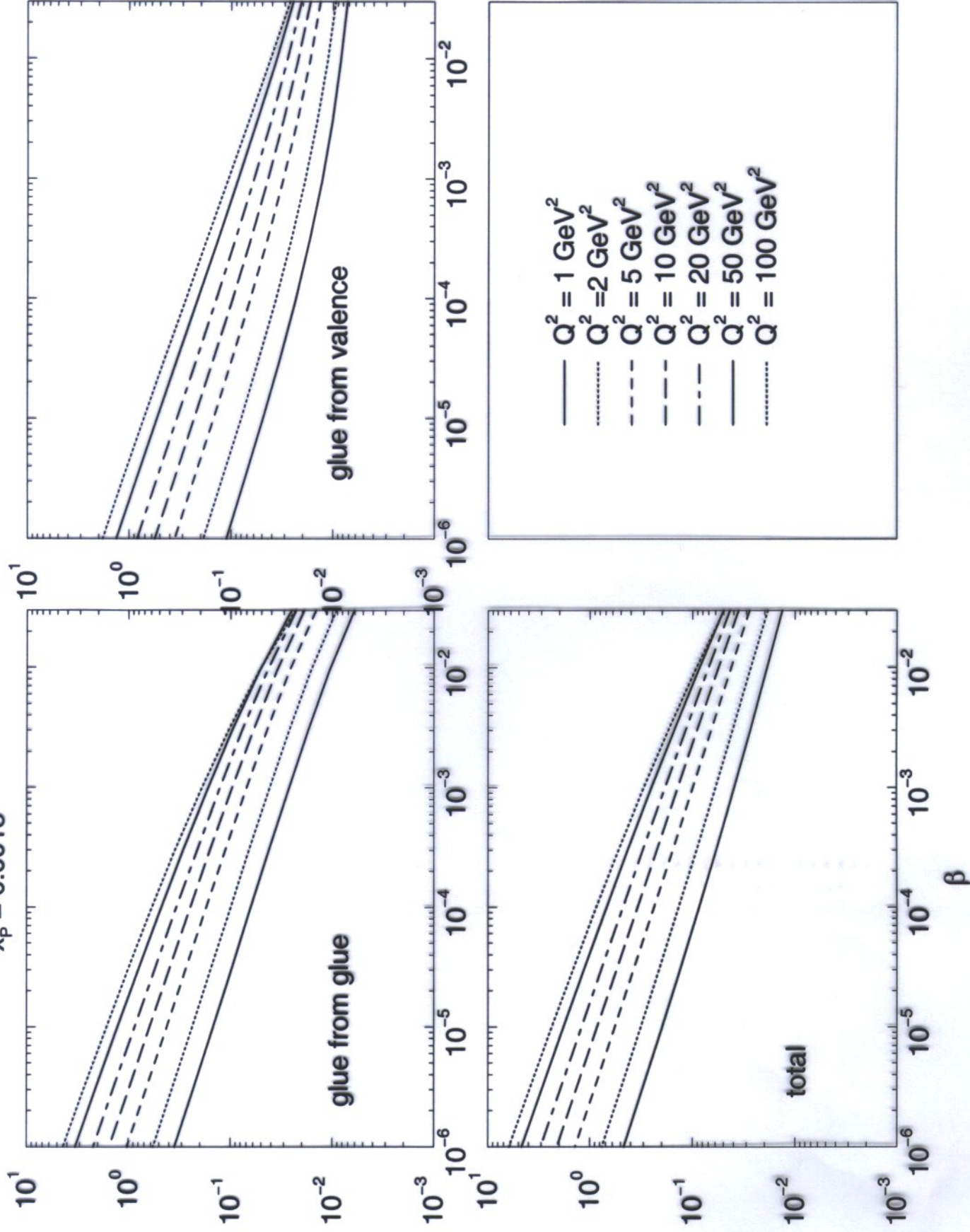
$$F_2^{D(3)}(x_p, \beta, Q^2), \text{ no soft exchange}$$

$$x_p = 7.4 \cdot 10^{-5}$$



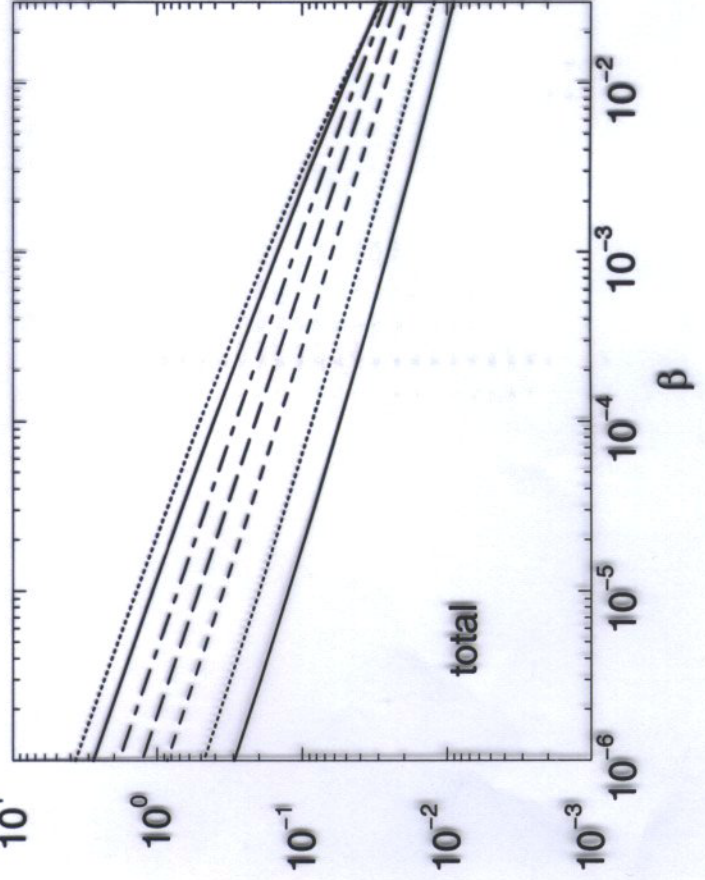
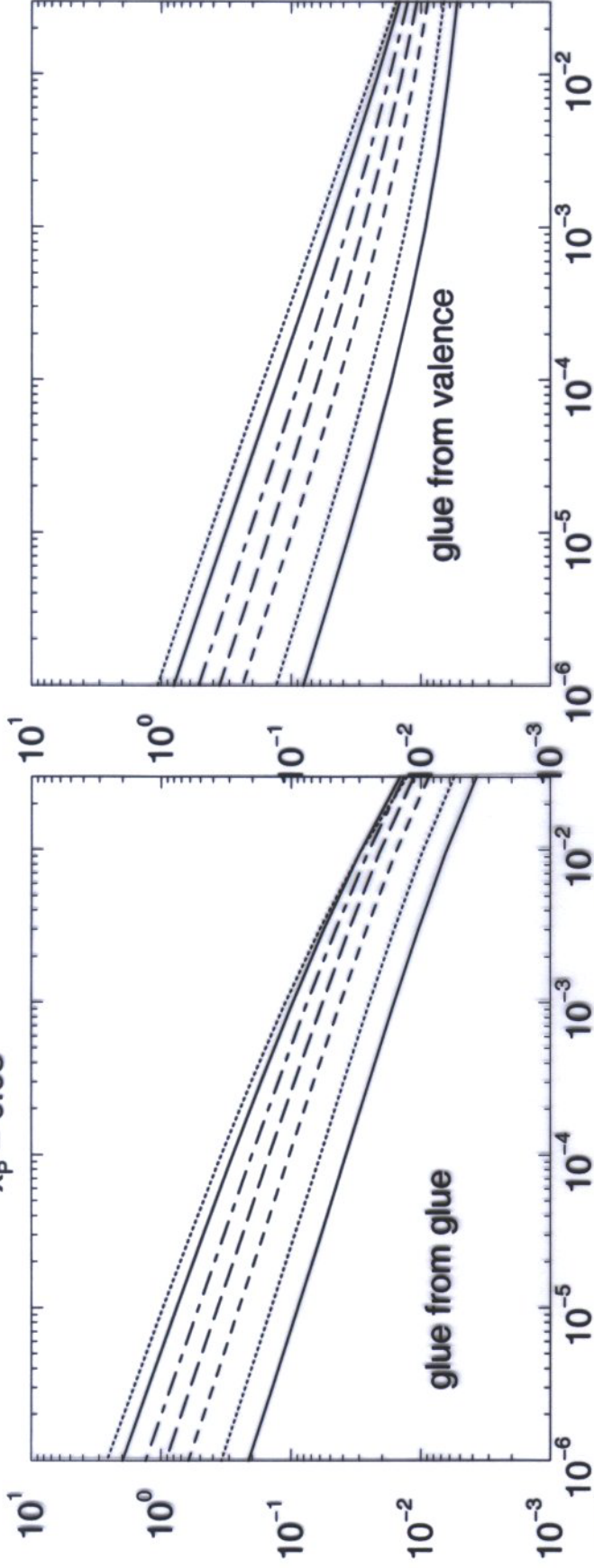
$$F_2^{D(3)}(x_p, \beta, Q^2), \text{ no soft exchange}$$

$$x_p = 0.0015$$



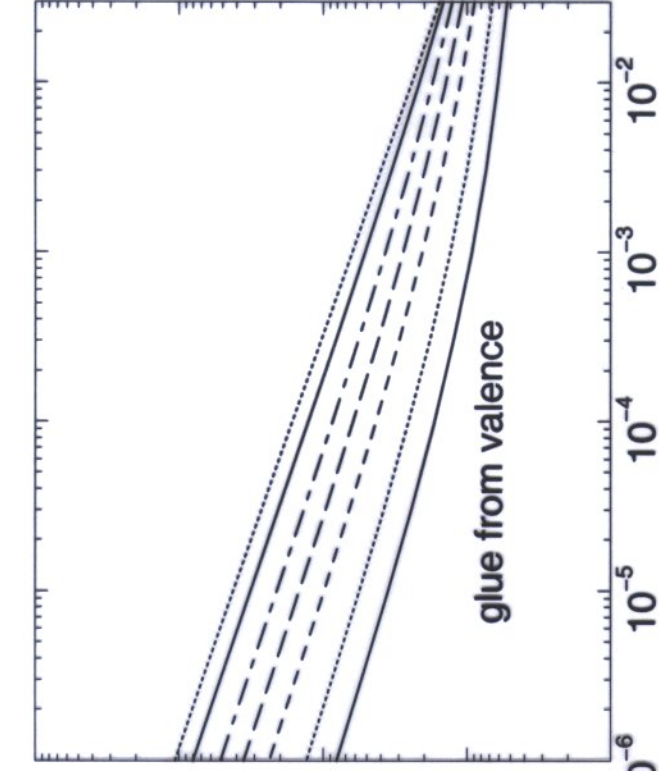
$F_2^{D(3)}(x_p, \beta, Q^2)$, no soft exchange

$x_p = 0.03$



$Q^2 = 1 \text{ GeV}^2$
 $Q^2 = 2 \text{ GeV}^2$
 $Q^2 = 5 \text{ GeV}^2$
 $Q^2 = 10 \text{ GeV}^2$
 $Q^2 = 20 \text{ GeV}^2$
 $Q^2 = 50 \text{ GeV}^2$
 $Q^2 = 100 \text{ GeV}^2$

glue from valence



● Conclusions:

- * Starting from the color dipole description of diffractive DIS and the 2G-wavefunction of the $\mathbb{P}$, we identified the arguably dominant unitarization corrections.

An extension of the CD-Regge expansion allows predictions for the β -dependence of $F_2^D(\beta)$.

- * We found a weak dependence on x of the diffractive/total ratio.

(Which we maybe overestimate somewhat)

No sign of a black disc limit is found, if we interpret $F_2^D(x, Q^2)$ as the

screening correction to the proton structure function, following AGK rules.

Still, the shadowing corrections to the proton SF are quite sizeable!