

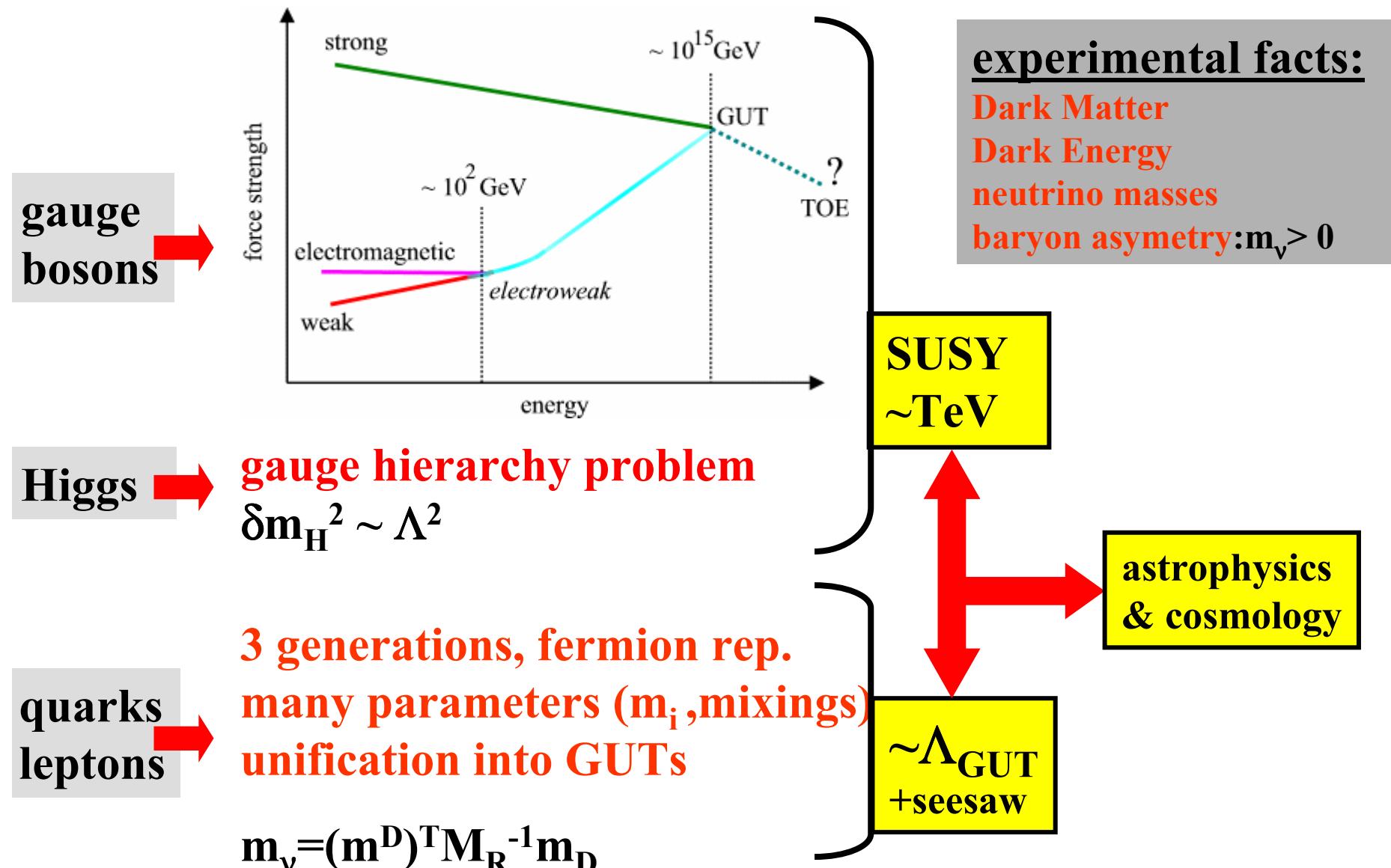
# Neutrinos in Particle and Astroparticle Physics: An Overview

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Technical University Munich



Astroteilchenphysik in Deutschland:  
Status und Perspektiven 2005  
DESY Zeuthen, 04.-05. Oktober 2005

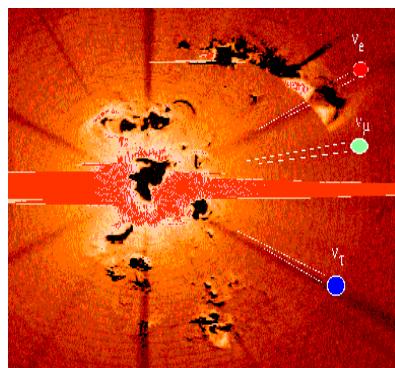
# Motivation: Physics Beyond the SM



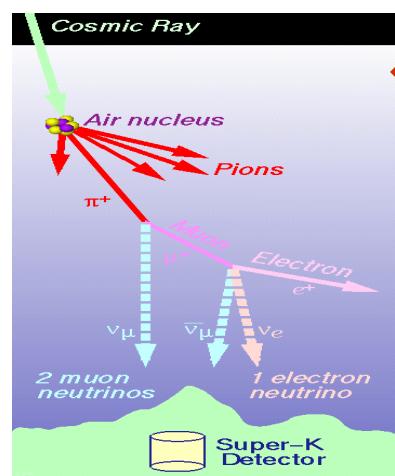
# Motivation: Neutrino Sources



←Sun



←Cosmology



←Atmosphere

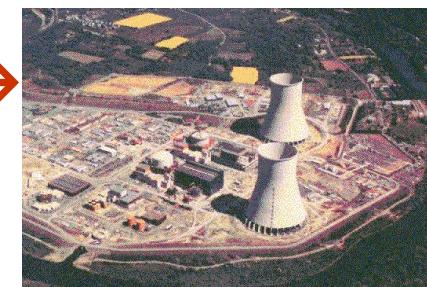


←Earth

Astronomy: →  
Supernovae  
GRBs  
UHE ν's



Reactors →



Accelerators →



# Four Methods of Mass Determination

- kinematical
- lepton number violation  
 $\longleftrightarrow$  Majorana nature
- oscillations
- astrophysics & cosmology

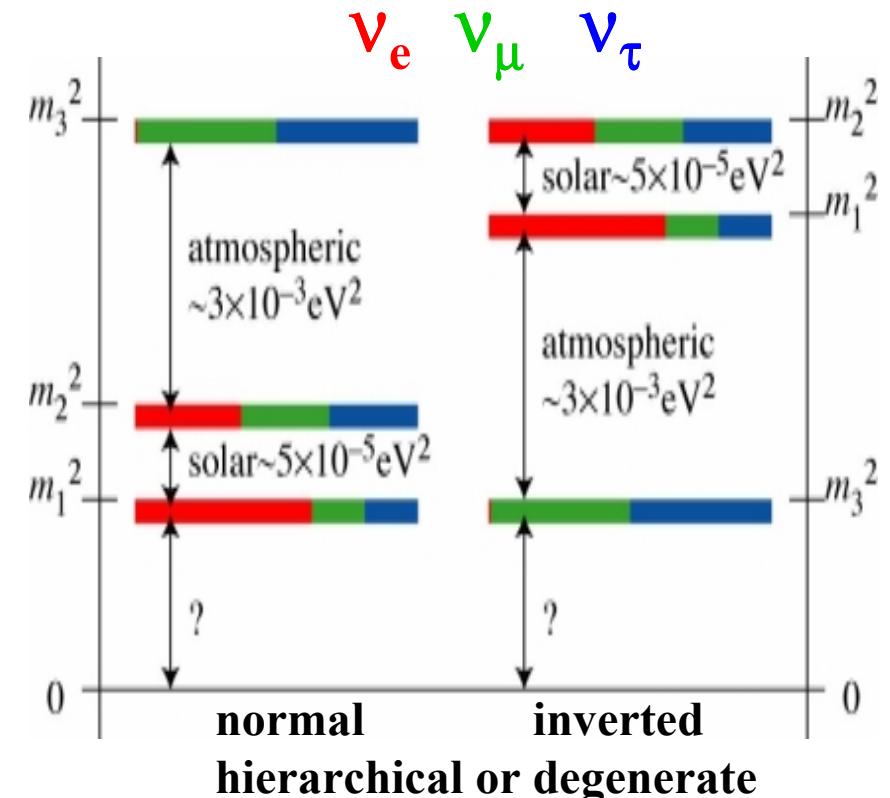
# Parameters for 3 Light Neutrinos

mass & mixing parameters:  $m_1$ ,  $\Delta m^2_{21}$ ,  $|\Delta m^2_{31}|$ ,  $\text{sign}(\Delta m^2_{31})$

$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix} \text{diag}(e^{i\alpha}, e^{i\beta}, 1)$$

particle physics questions:

- Dirac or Majorana
- absolute mass scale:  $m_1$
- mass ordering:  $\text{sgn}(\Delta m^2_{31})$
- how small is  $\theta_{13}$ ,  $\theta_{23}$  maximal?
- leptonic CP violation
- LSND ↔ sterile neutrino(s)
- L/E pattern of oscillations

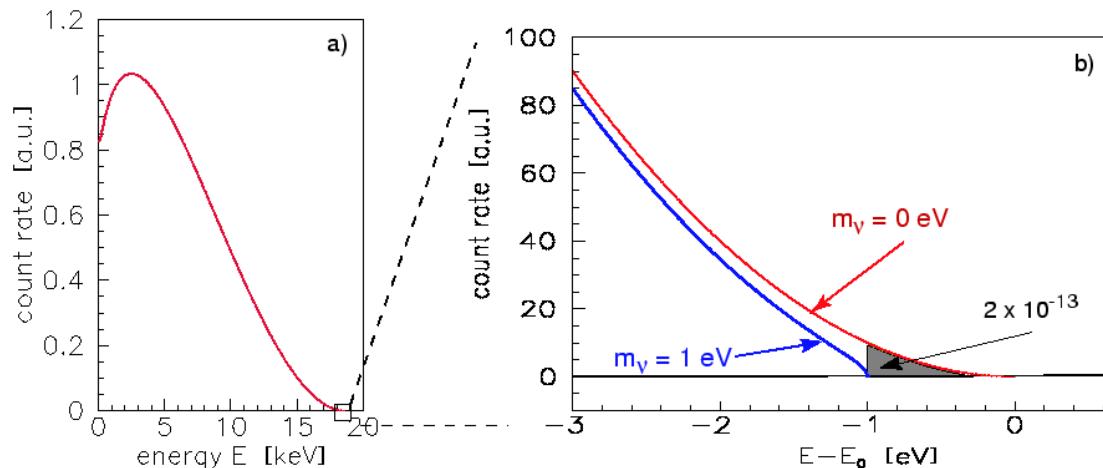


# Kinematical Mass Determination

Relativistic kinematics:

$$E^2 = p^2 + m^2; \quad \sum p_i^\mu = \sum p_f^\mu$$

Endpoint of decays:



Bounds:

"Elektron-Neutrino":  $m < 2.2$  eV (Mainz, Troitsk)

"Muon-Neutrino":  $m < 170$  keV

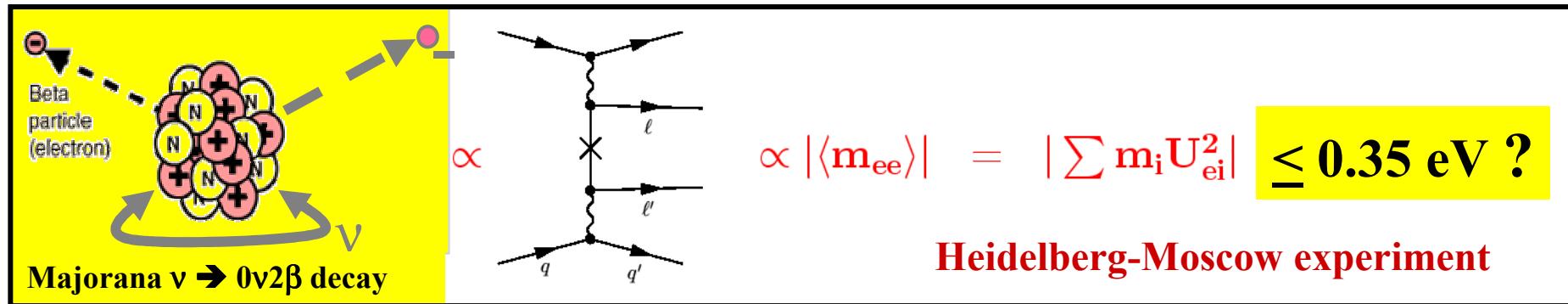
"Tau-Neutrino":  $m < 15.5$  MeV

Sensitivity  $\Leftrightarrow$  degenerate  $\nu$ -spectrum

$\Rightarrow$  Oscillations:  $\Delta m_{ij}^2 \ll m_i^2 \Rightarrow \sum m_i^2 |U_{ei}|^2 < (2.2 \text{ eV})^2$

Future: KATRIN  $\rightarrow$  0.25 eV  $\rightarrow ?$   $\leftrightarrow$  c.f. cosmological bounds

# Neutrino-less Double $\beta$ -Decay

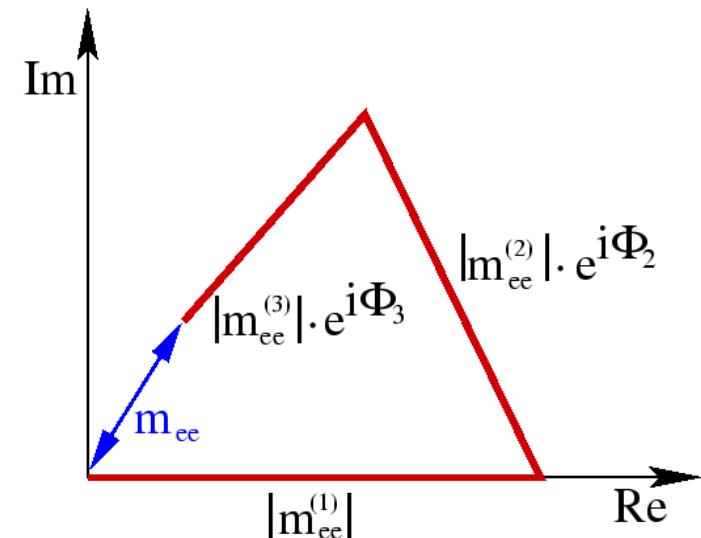


$$m_{ee} = |m_{ee}^{(1)}| + |m_{ee}^{(2)}| \cdot e^{i\Phi_2} + |m_{ee}^{(3)}| \cdot e^{i\Phi_3}$$

$$|m_{ee}^{(1)}| = |U_{e1}|^2 m_1$$

$$|m_{ee}^{(2)}| = |U_{e2}|^2 \sqrt{m_1^2 + \Delta m_{21}^2}$$

$$|m_{ee}^{(3)}| = |U_{e3}|^2 \sqrt{m_1^2 + \Delta m_{31}^2}$$



solar  $\Rightarrow |U_{e1}|^2, |U_{e2}|^2, \Delta m_{21}^2$    atmosph.  $\Rightarrow |\Delta m_{31}^2|$    CHOOZ  $\Rightarrow |U_{e3}|^2 < 0.05$

→ free parameters:  $m_1$ ,  $\text{sign}(\Delta m_{31}^2)$ , CP-phases  $\Phi_2, \Phi_3$

$$m_1 \rightarrow \text{small} \rightarrow m_{ee} = \text{const.} \sim (\Delta m_{ij}^2)^{1/2} \quad \leftrightarrow \text{sign}(\Delta m_{31}^2)$$

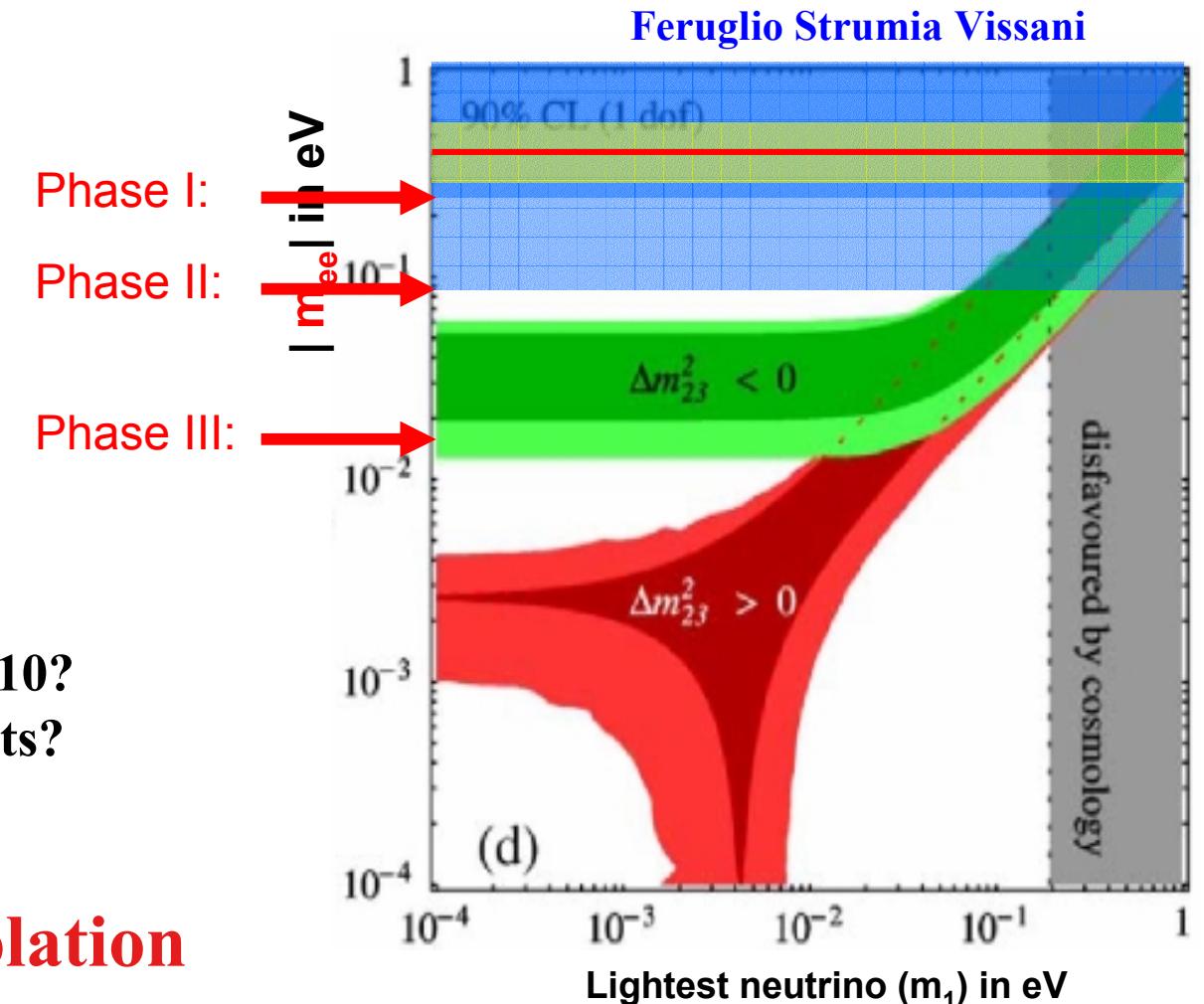
$$m_1 \text{ large} \rightarrow m_{ee} \sim m_1$$

**cosmological bound on  $m_1$**   
**claim  $\rightarrow$  'tension'**

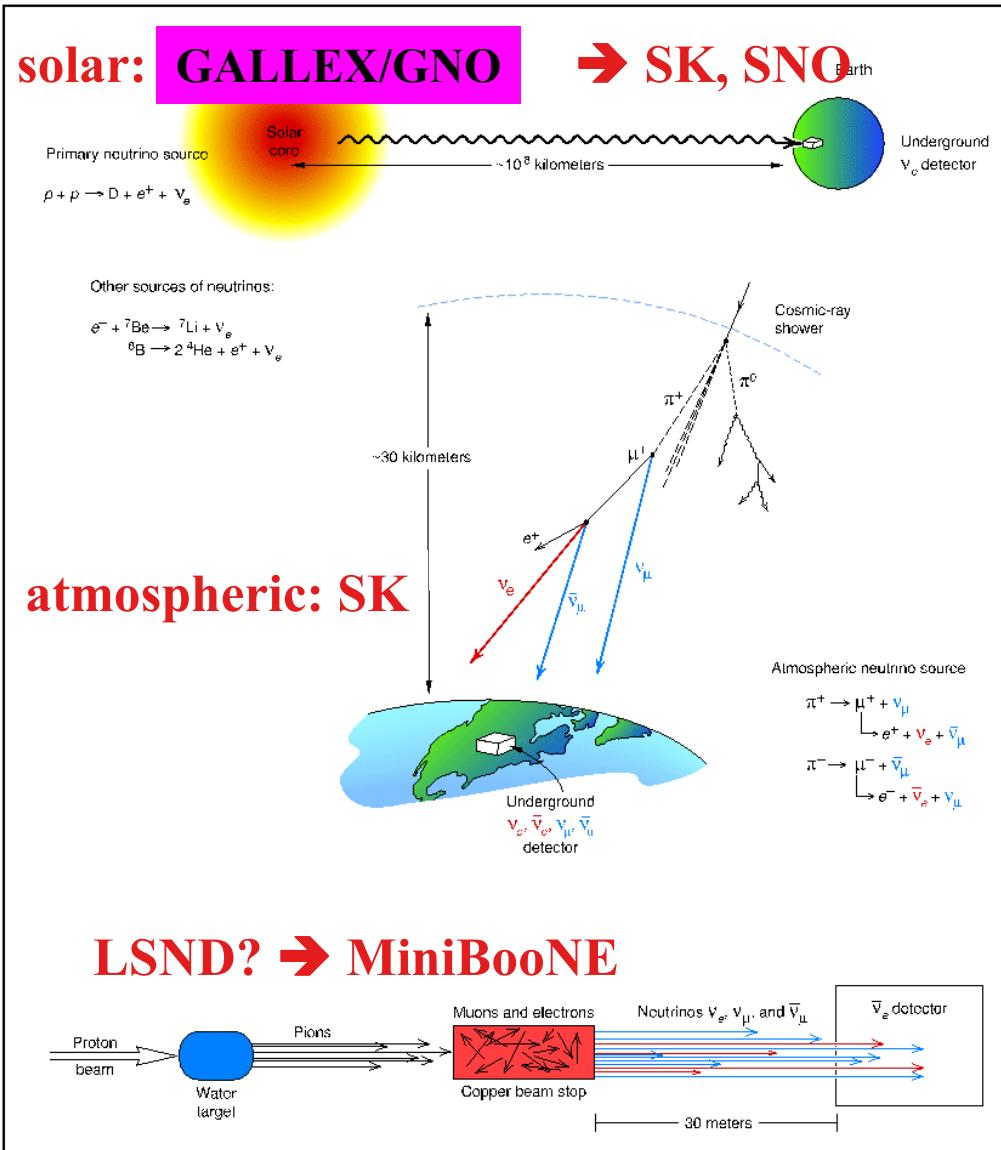
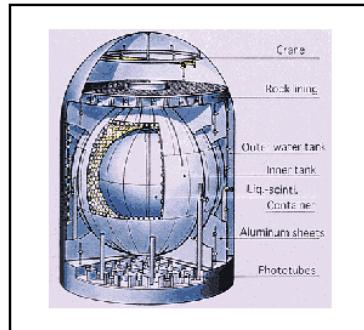
**new experiments:**  
**CUORICINO, GERDA  $\rightarrow$**   
**CUORE, Majorana, ...**  
**aim:  $(\Delta m_{31}^2)^{1/2} \simeq 0.05 \text{ eV}$**

**Cosmology: syst. errors  $\rightarrow X10?$**   
 **$0\nu2\beta$  – nuclear matrix elements?**  
**theory: LR, RPV-SUSY, ...**

**$\rightarrow$  lepton number violation**

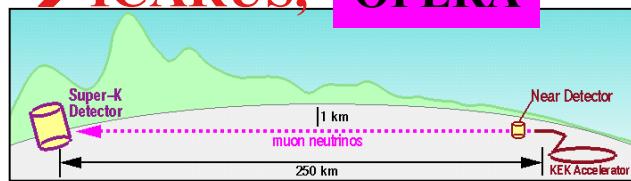


# Neutrino Oscillation Signals



Reactors: KAMLAND

Beams: K2K, MINOS,  
→ ICARUS, OPERA



$$\Delta m_{21}^2 = (8.2 \pm 0.3) * 10^{-5} \text{ eV}^2$$

$$\tan^2 \theta_{12} = 0.39 \pm 0.05$$

$$\Delta m_{31}^2 = (2.2 \pm 0.6) * 10^{-3} \text{ eV}^2$$

$$\tan^2 \theta_{23} = 1.0 \pm 0.3$$

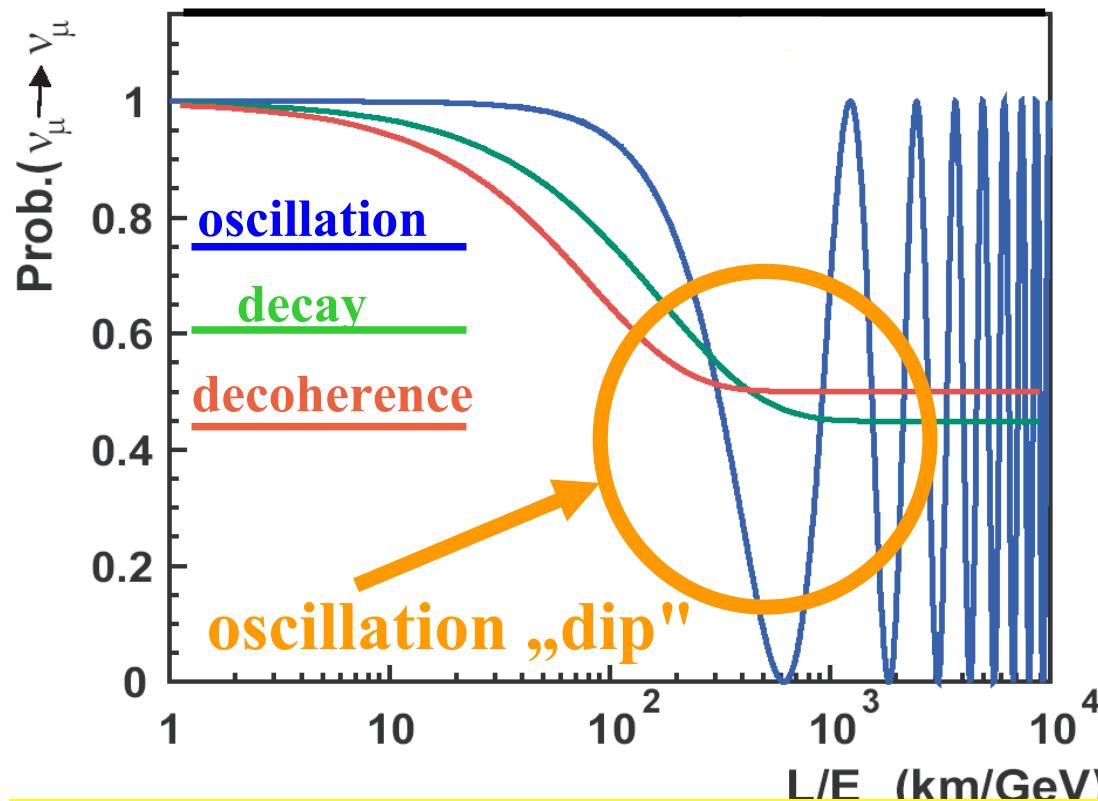
$$\sin^2 2\theta_{13} < 0.16$$

# L/E Dependence

Neutrino oscillation :  $P_{\mu\mu} = 1 - \sin^2 2\theta \sin^2(1.27 \frac{\Delta m^2 L}{E})$

Neutrino decay :  $P_{\mu\mu} = (\cos^2 \theta + \sin^2 \theta \times \exp(-\frac{m}{2\tau} \frac{L}{E}))^2$

Neutrino decoherence :  $P_{\mu\mu} = 1 - \frac{1}{2} \sin^2 2\theta \times (1 - \exp(-\gamma_0 \frac{L}{E}))$

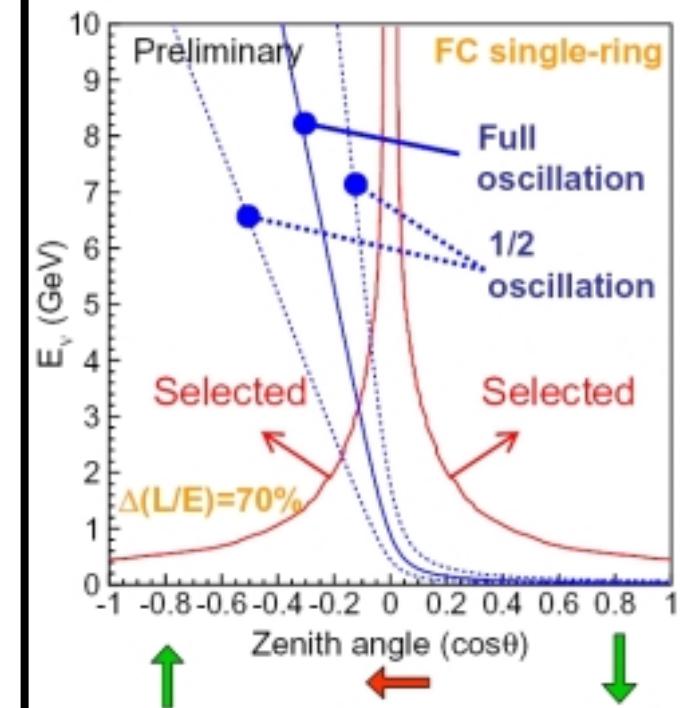


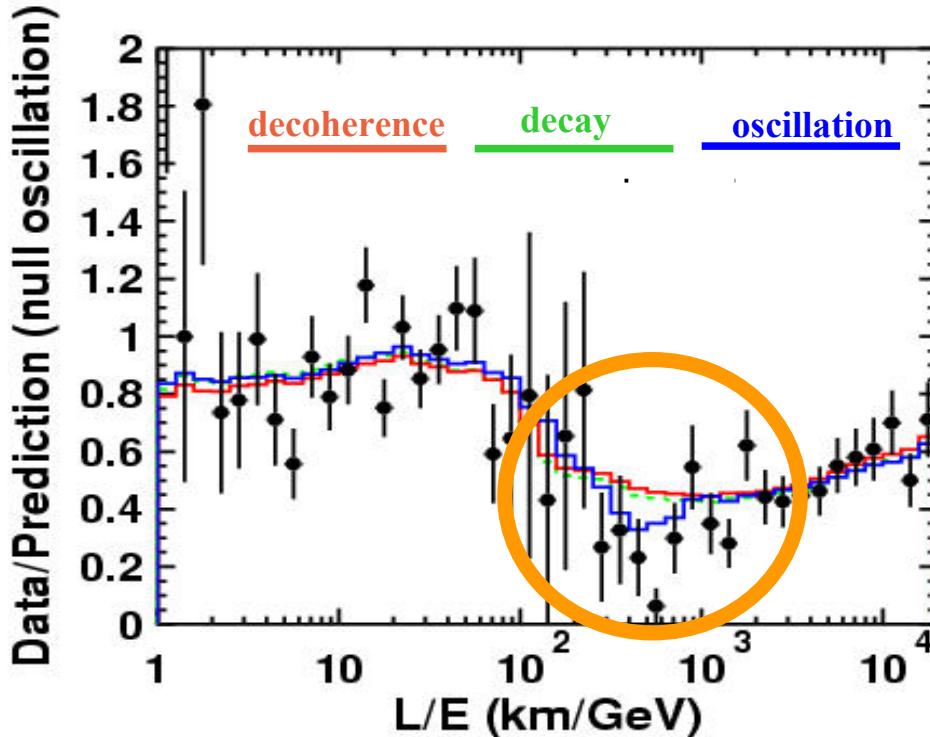
However: L/E dependence smeared out!

## Bad L/E resolution:

- horizontal events
- events with small E

→ cuts in E-cosθ plane

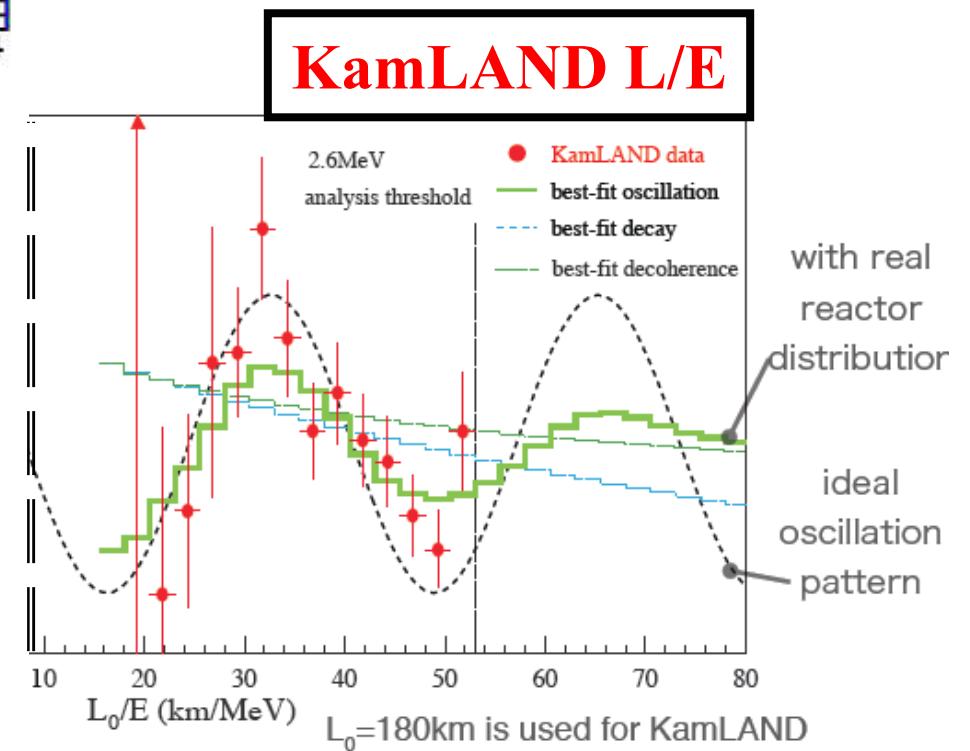




**SK II data  
SK I similar**

## **SK Result:**

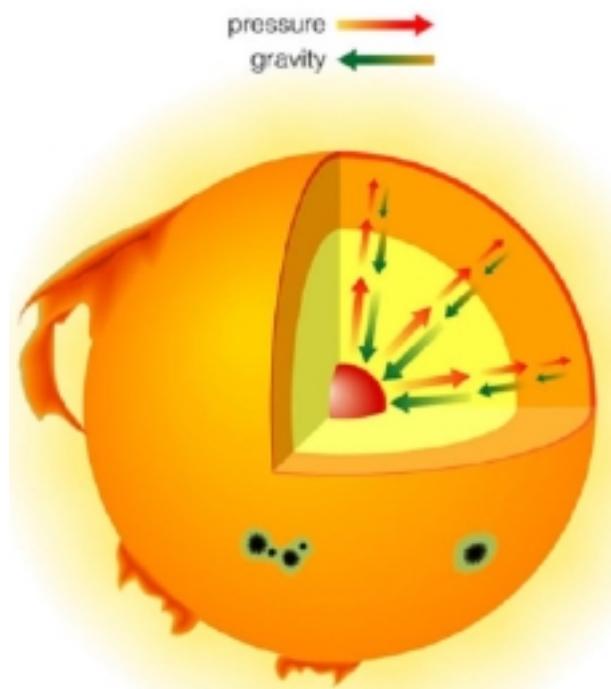
- $3,4\sigma$  for decay
- $3,8\sigma$  for de-coherence
- $\Delta m^2 = 2.4 \cdot 10^{-3} \text{ eV}^2$
- $\longleftrightarrow$  long baseline exp.



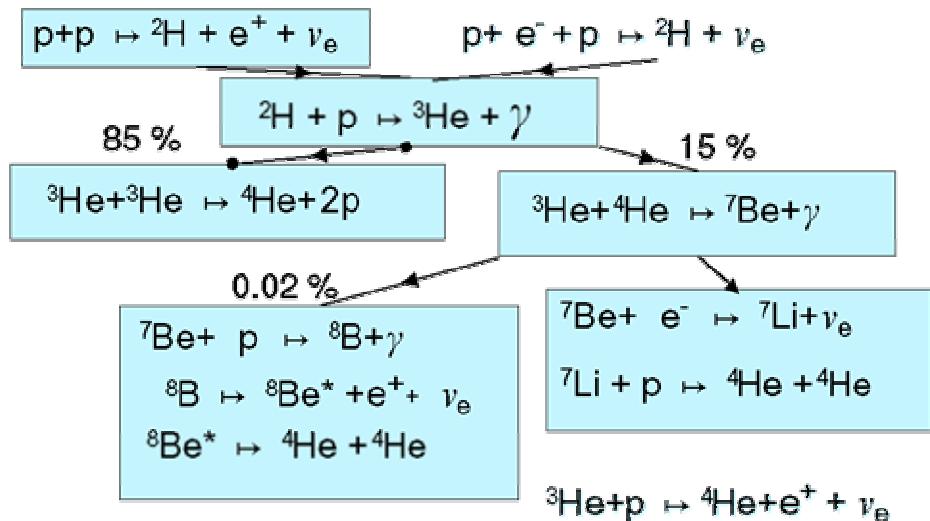
# Solar Neutrinos: Learning About the Sun

## Observables:

- **optical** (total energy, surface dynamics, sun-spots, historical records, B, ...)
- **neutrinos** (rates, spectrum, ...)

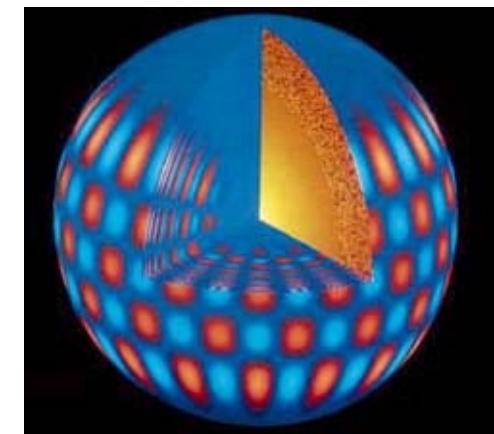


BOREXINO

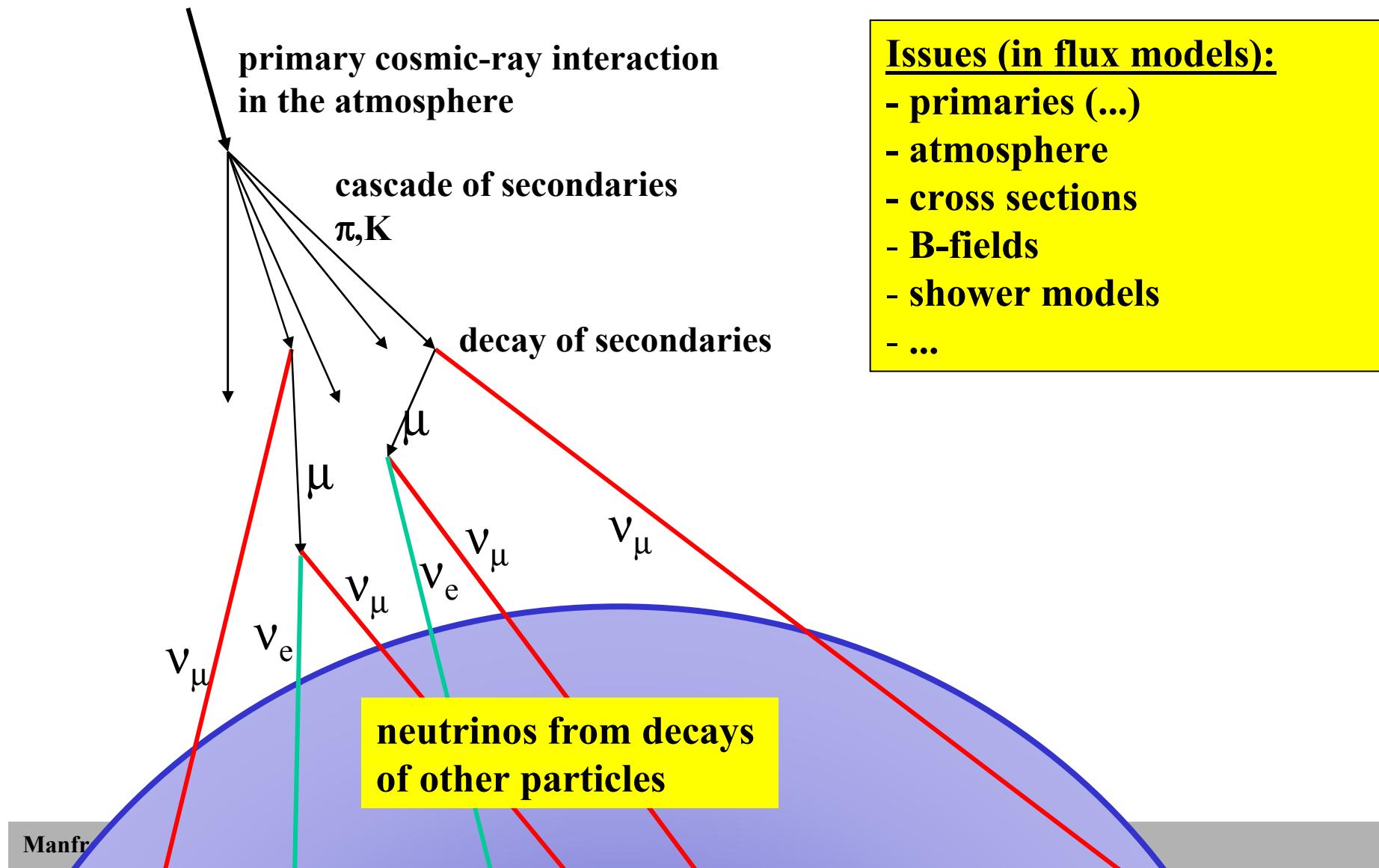


## Topics:

- nuclear cross sections
- solar dynamics
- helio-seismology
- variability
- composition



# Learning from Atmospheric Neutrinos



# The Future of Oscillation Physics

$\Delta m^2$  and  $\theta_{ij}$  regions → improved oscillation experiments  
 → controlled sources & detectors

- long baseline experiments with neutrino beams
- reactor experiments with identical near & far detector

$$\begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$\theta_{23}$       S<sub>13</sub> → 3 flavour effects  
→ CP phase δ       $\theta_{12}$

x Majorana-  
CP-phases

matter effects

- Aims: → improved precision of the leading 2x2 oscillations  
 → detection of generic 3-neutrino effects:  $\theta_{13}$ , CP violation  
 → precision neutrino physics

# New Neutrino Beams

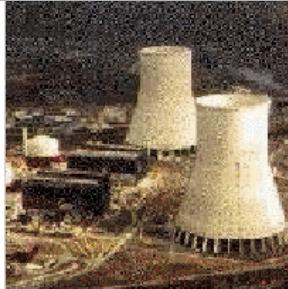
- conventional beams, superbeams  
→ MINOS, CNGS: OPERA ICARUS, T2K, NOvA, T2H,...
- $\beta$ -beams  
→ pure  $\nu_e$  and  $\nu_{e\bar{}}$  beams from radioactive decays;  $\gamma \simeq 100$
- neutrino factories  
→ clean neutrino beams from decay of stored  $\mu$ 's

$$\begin{aligned} P(\nu_e \rightarrow \nu_\mu) &\approx \sin^2 2\theta_{13} \sin^2 \theta_{23} \frac{\sin^2((1-\hat{A})\Delta)}{(1-\hat{A})^2} \\ &\pm \sin \delta_{CP} \alpha \sin 2\theta_{12} \cos \theta_{13} \sin 2\theta_{13} \sin 2\theta_{23} \sin(\Delta) \frac{\sin(\hat{A}\Delta) \sin((1-\hat{A})\Delta)}{\hat{A}(1-\hat{A})} \\ &+ \cos \delta_{CP} \alpha \sin 2\theta_{12} \cos \theta_{13} \sin 2\theta_{13} \sin 2\theta_{23} \cos(\Delta) \frac{\sin(\hat{A}\Delta) \sin((1-\hat{A})\Delta)}{\hat{A}(1-\hat{A})} \\ &+ \alpha^2 \sin^2 2\theta_{12} \cos^2 \theta_{23} \frac{\sin^2(\hat{A}\Delta)}{\hat{A}^2} \end{aligned}$$



correlations & degeneracies

# New Reactor Experiments

 $\overline{\nu}_e \Rightarrow$ 

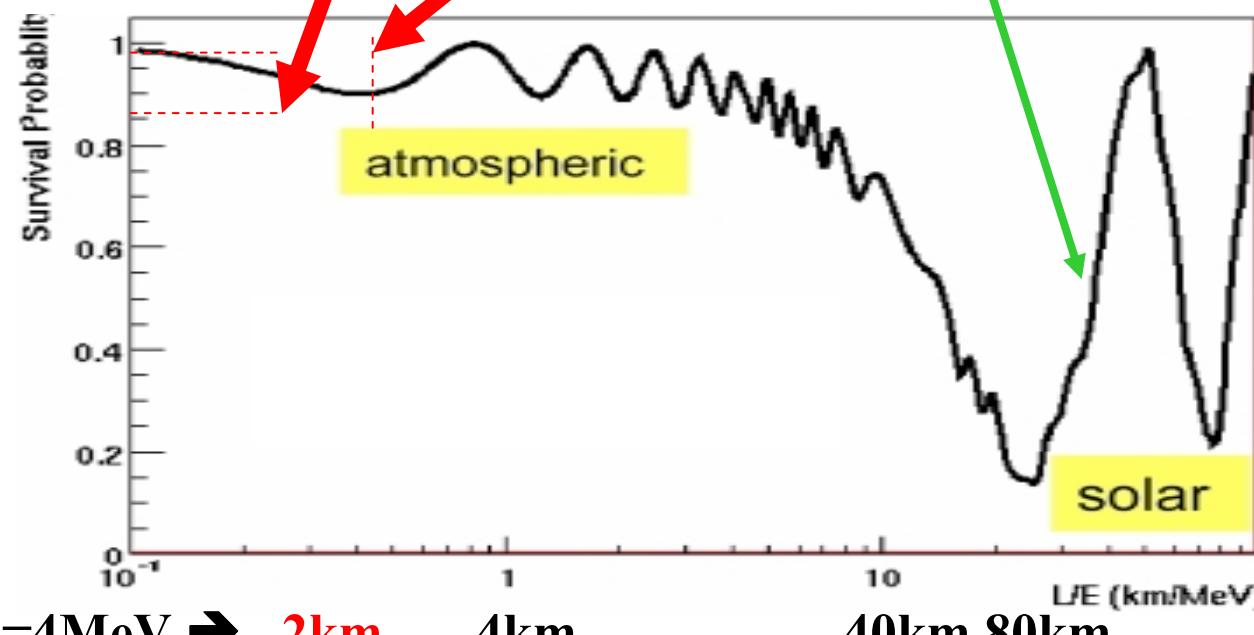
near detector (170m)

 $\overline{\nu}_e \Rightarrow$ 

far detector (1700m)

identical detectors → many errors cancel

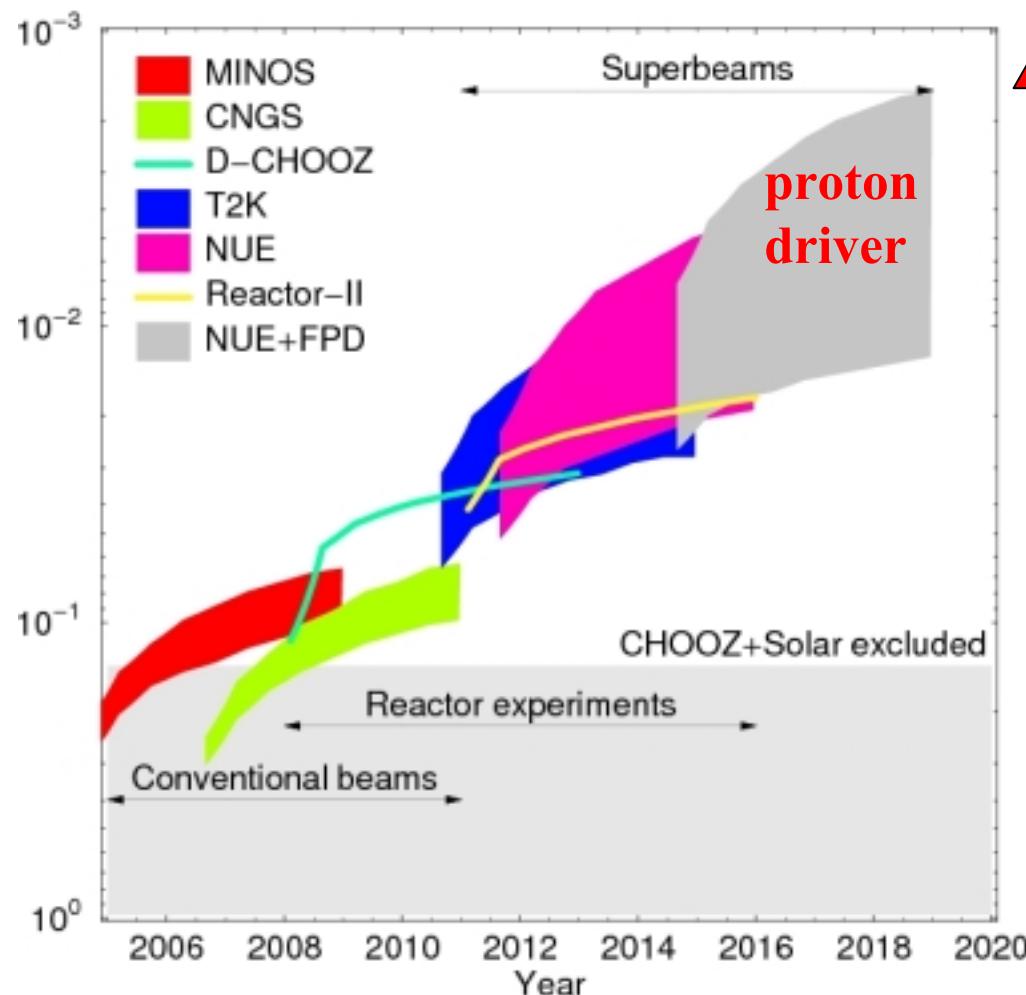
$$P_{ee} \approx 1 - \sin^2 2\theta_{13} \sin^2 \frac{\Delta m_{31}^2 L}{4E_\nu} + \left( \frac{\Delta m_{21}^2 L}{4E_\nu} \right)^2 \cos^4 \theta_{13} \sin^2 2\theta_{12}$$



→ Double Chooz  
→ KASKA  
→ Braidwood  
→ Angra, ...

no degeneracies  
no correlations  
no matter effects

# Sensitivity Versus Time



- β-beam
- neutrino factory

**What is precision good for?**

- unique flavour information
- tests theories of flavour
- history: elimination of SMA
- find leptonic CP violation
- ↔ baryon asymmetry

# The Value of Precision for $\theta_{13}$

- models for masses & mixings
- input: Known masses & mixings  
→ distribution of  $\theta_{13}$  „predictions“
- $\theta_{13}$  often close to experimental bounds  
→ motivates new experiments  
→  $\theta_{13}$  controls 3-flavour effects  
like leptonic CP-violation

for example:  $\sin^2 2\theta_{13} < 0.01 \rightarrow$

physics question: why is  $\theta_{13}$  so small ?

- numerical coincidence
- symmetry

↔ precision!

Reference	$\sin \theta_{13}$	$\sin^2 2\theta_{13}$
<u><math>SO(10)</math></u>		
Goh, Mohapatra, Ng [40]	0.18	0.13
<u>Orbifold <math>SO(10)</math></u>		
Asaka, Buchmüller, Covi [41]	0.1	0.04
<u><math>SO(10) + flavor symmetry</math></u>		
Babu, Pati, Wilczek [42]	$5.5 \cdot 10^{-4}$	$1.2 \cdot 10^{-6}$
Blazek, Raby, Ibanez [43]	0.05	0.01
Kitano, Mimura [44]	0.22	0.18
Albright, Barr [45]	0.014	$7.8 \cdot 10^{-1}$
Machkawa [46]	0.22	0.18
Perez, Velasco, Seville [47]	0.07	0.02
Chen, Mahanthappa [48]	0.15	0.09
Raby [49]	0.1	0.04
<u><math>SO(10) + texture</math></u>		
Buchmüller, Wyler [50]	0.1	0.04
Bando, Obara [51]	0.01 .. 0.06	$4 \cdot 10^{-4} .. 0.01$
<u>Flavor symmetries</u>		
Crimus, Ibarra [52, 52]	0	0
Crimus, Ibarra [52]	0.3	0.3
Babu, Ma, Valle [54]	0.14	0.08
Kuchimanchi, Mohapatra [55]	0.08 .. 0.4	0.03 .. 0.5
Ohlsson, Seidl [56]	0.07 .. 0.14	0.02 .. 0.08
King, Ross [57]	0.2	0.15
<u>Textures</u>		
Honda, Kaneko, Tanimoto [58]	0.08 .. 0.20	0.03 .. 0.15
Lebed, Martin [59]	0.1	0.04
Bando, Kaneko, Obara, Tanimoto [60]	0.01 .. 0.05	$4 \cdot 10^{-4} .. 0.01$
Ibarra, Ross [61]	0.2	0.15
<u><math>3 \times 2</math> see-saw</u>		
Appelquist, Pila, Shrock [62, 63]	0.05	0.01
Frampton, Glashow, Yanagida [64]	0.1	0.04
Mei, Xing [65] (normal hierarchy) (inverted hierarchy)	0.07 > 0.006	0.02 $> 1.6 \cdot 10^{-4}$
<u>Anarchy</u>		
de Gouvea, Murayama [66]	> 0.1	> 0.04
<u>Renormalization group enhancement</u>		
Mohapatra, Parida, Rajasekaran [67]	0.08 .. 0.1	0.03 .. 0.04

# Further Implications of Precision

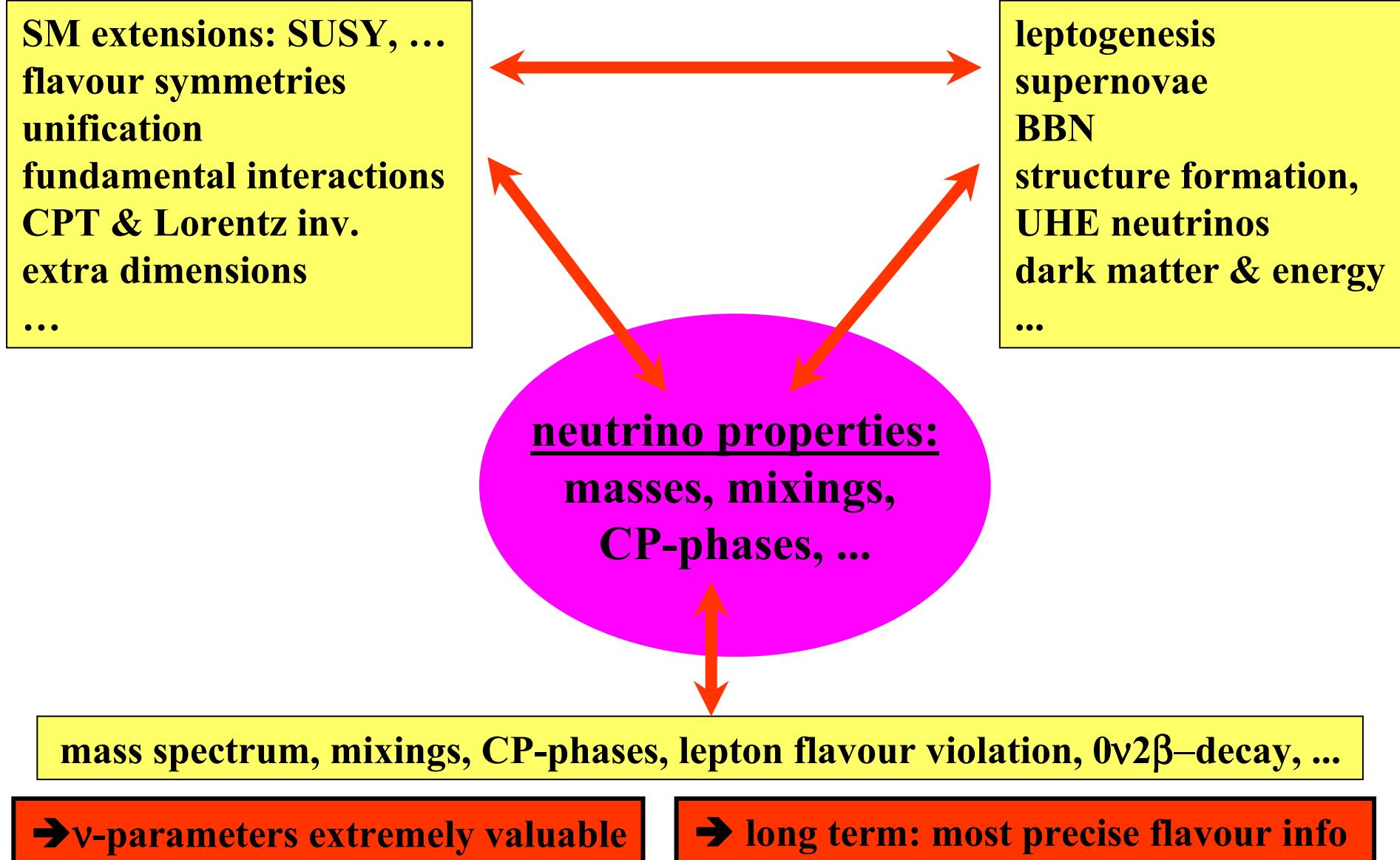
## Precision allows to identify / exclude:

- special angles:  $\theta_{13} = 0^\circ$ ,  $\theta_{23} = 45^\circ$ , ...  $\leftrightarrow$  discrete f. symmetries?
- special relations:  $\theta_{12} + \theta_C = 45^\circ$  ?  $\leftrightarrow$  quark-lepton relation?
- quantum corrections  $\leftrightarrow$  renormalization group evolution

## Provides also measurements or tests of:

- MSW effect (coherent forward scattering and matter profiles)
- cross sections
- 3 neutrino unitarity  $\leftrightarrow$  sterile neutrinos with small mixings
- neutrino decay (admxiture...)
- decoherence
- NSI
- MVN, ...

# The Interplay of Topics



# Neutrinos & Cosmology

- Dark Matter  $\sim 25\%$  & Dark Energy  $70\%$
- mass of all neutrinos:  $0.001 \leq \Omega_\nu \leq 0.02$
- baryonic matter  $\Omega_B \sim 0.04$

Big Bang

Inflation

Expansion

Present Day Acceleration

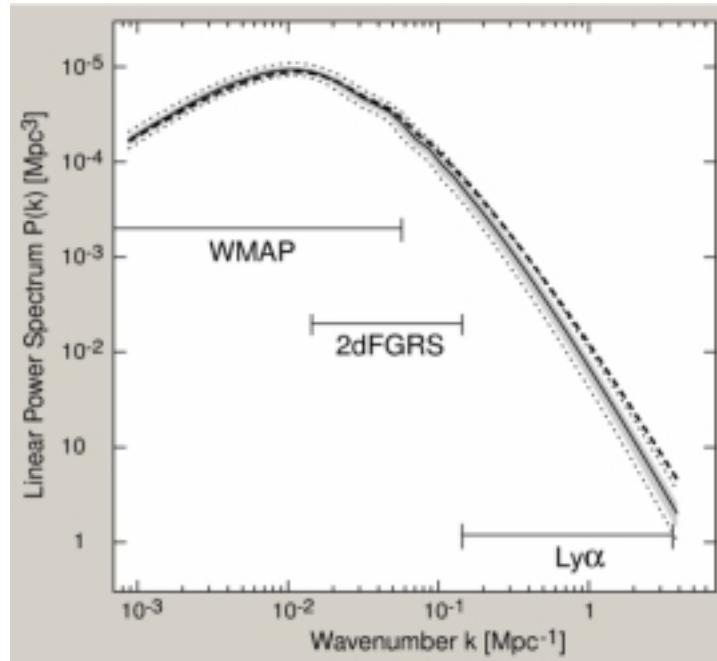
## neutrinos affect:

- BBN, structure formation
- baryon asymmetry, ...

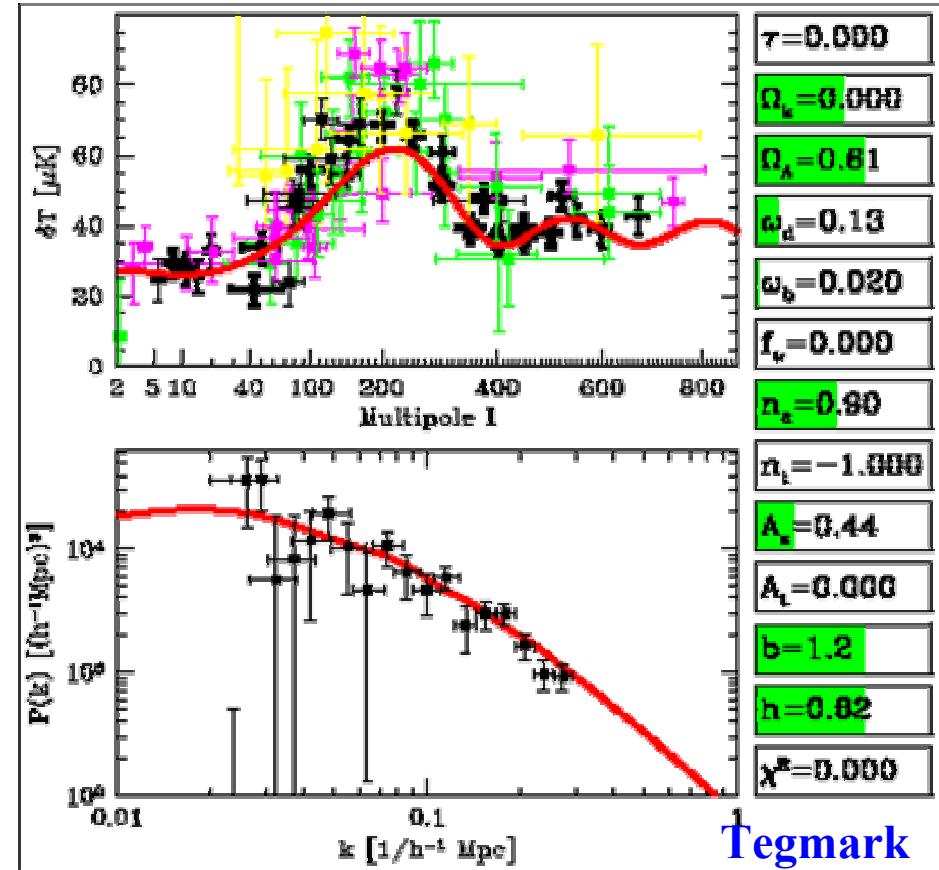
Source: Robert Kirshner  
Source: David Spergel, Harvard-Smithsonian Center for Astrophysics

# Cosmology and Neutrino Mass

- $\nu$ 's are hot dark matter → smears structure formation on small scales



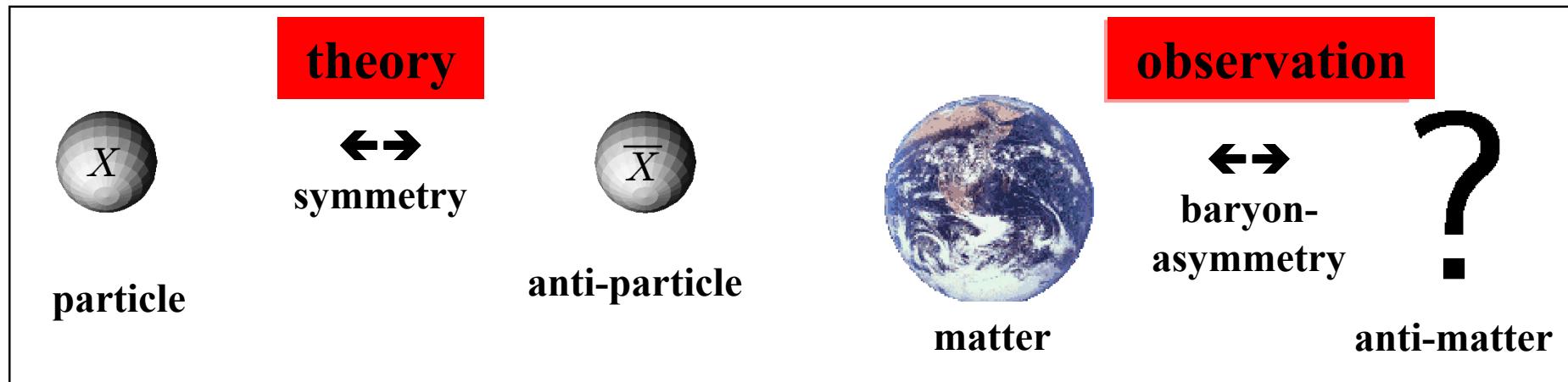
- WMAP+2dFGRS + Ly $\alpha$
- mass bound:  $\Sigma m_\nu < 0.7 \dots 1.2 \text{ eV}$
- 3 degenerate neutrinos
- $m_\nu < 0.4 \text{ eV}$  future improvements: ~factor 5-10 ?
- comparison with 0v2 $\beta$ , LSND
- will be tested directly by KATRIN



$$f_\nu = \Omega_\nu / \Omega_{\text{matter}}$$

WMAP → PLANCK

# Baryon Asymmetry & Neutrinos



measured baryon asymmetry:  $\eta = \frac{n_B}{n_\gamma} = 4(3) \cdot 10^{-10} \dots 7(10) \cdot 10^{-10}$

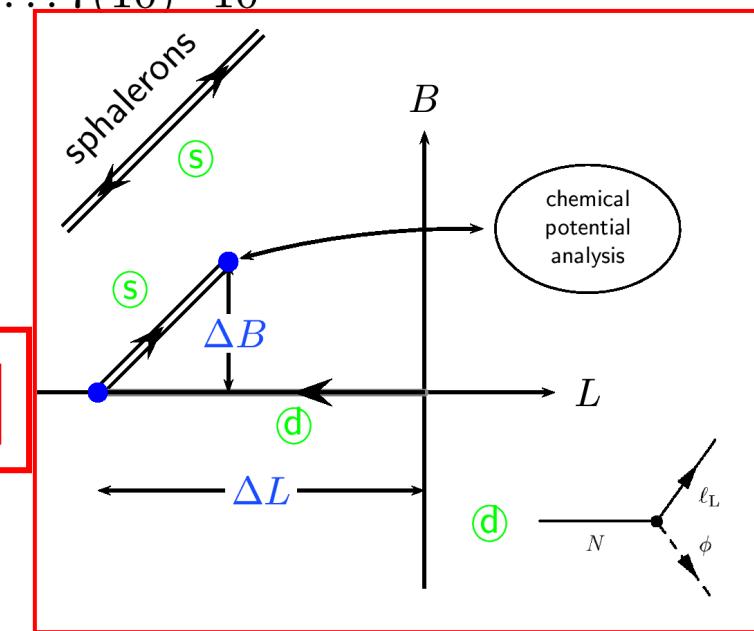
### Necessary: Sakharov conditions:

- B-violating processes  $\leftrightarrow$  sphalerons
- C- and CP-violation  $\leftrightarrow$  contained in model
- departure from thermal equilibrium  $\leftrightarrow$   $\Gamma < H$

natural explanation of  
baryon asymmetry by

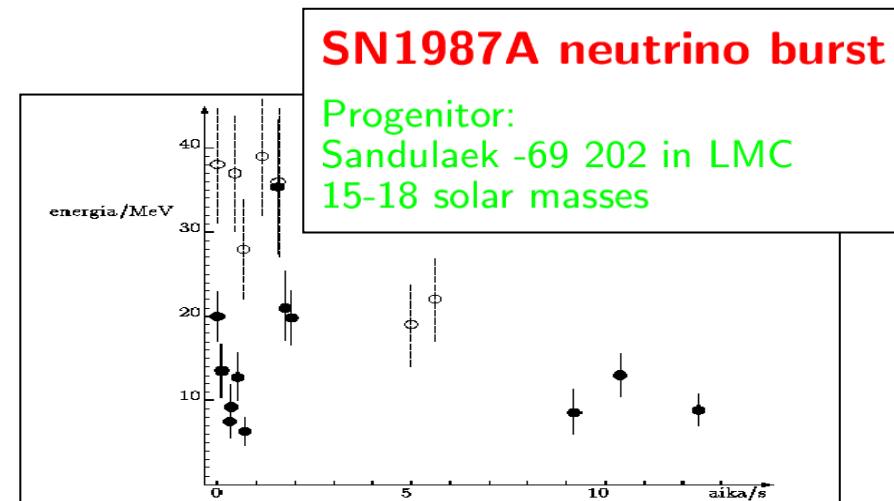
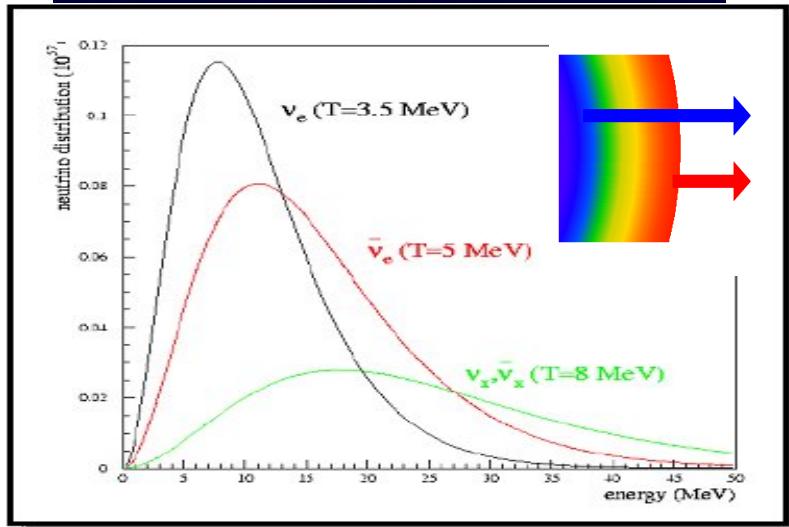
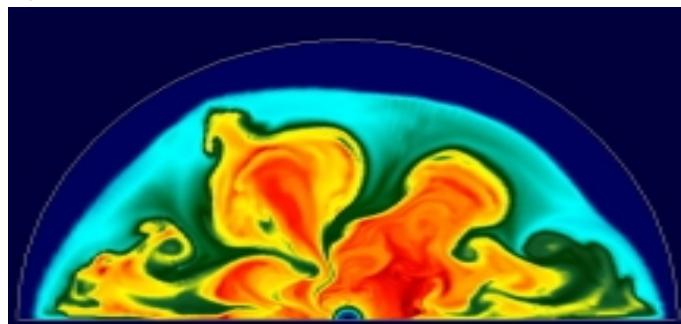
leptogenesis

- minimal leptogenesis works nicely
- different interesting variants ... a talk by itself



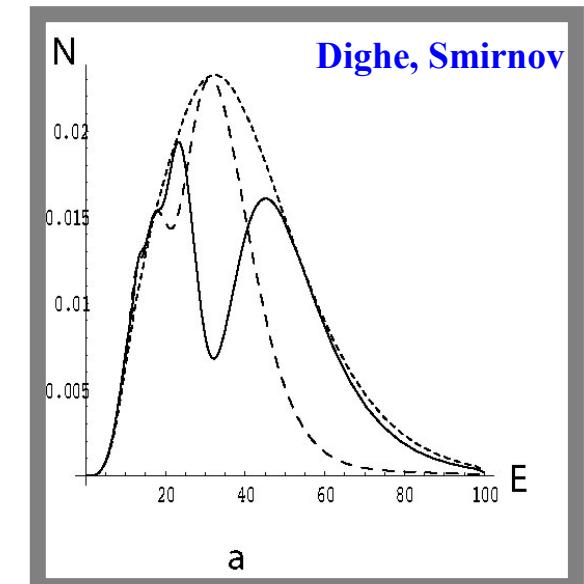
# Supernova Neutrinos

- Collaps of a typical star  $\rightarrow \sim 10^{57}$   $\nu$ 's
- ~99% of the energy in  $\nu$ 's
- $\nu$ 's essential for explosion
- 3d simulations do not explode  
(so far... 2d  $\rightarrow$  3d,  $\rightarrow$  convection? ... )

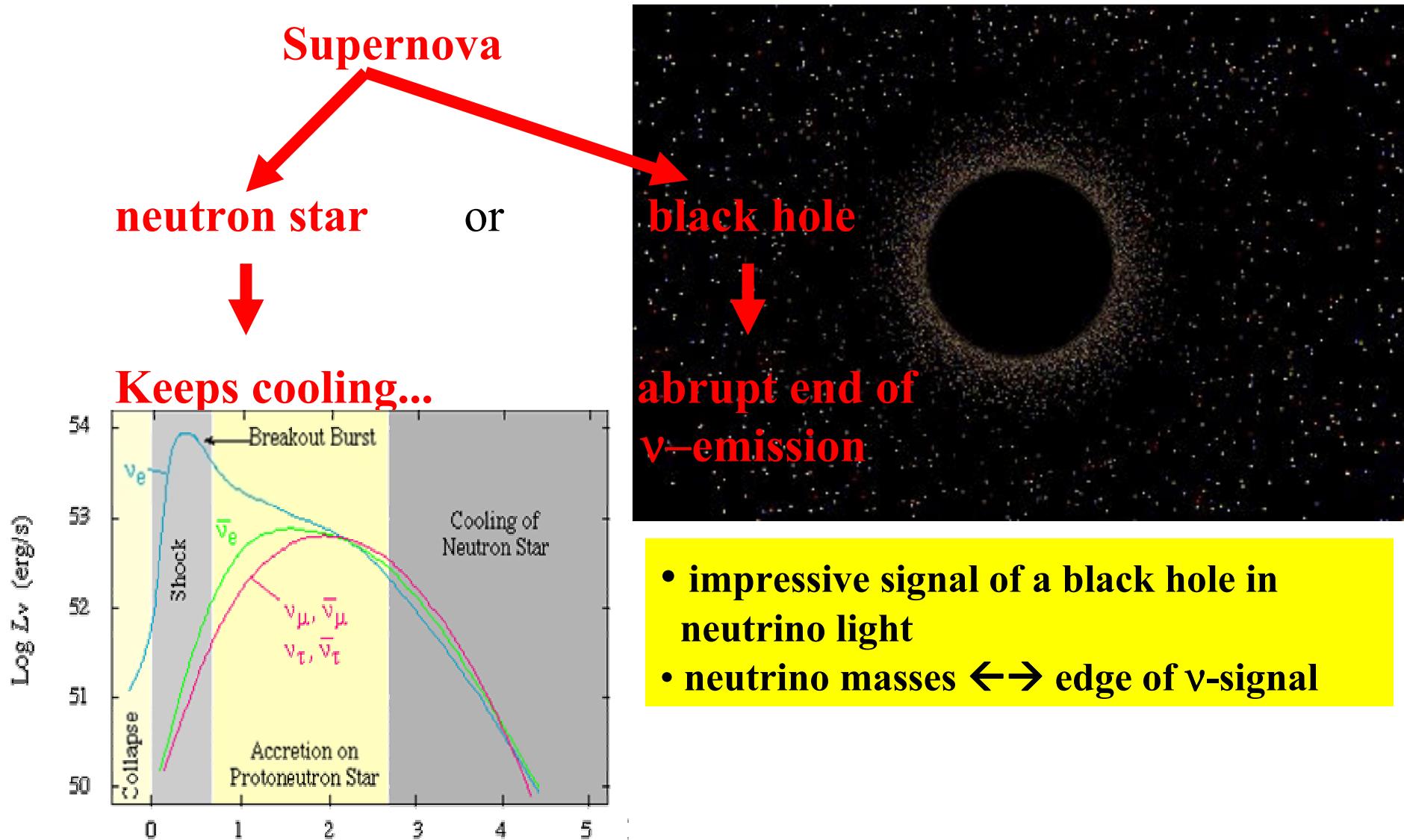


MSW: SN & Earth

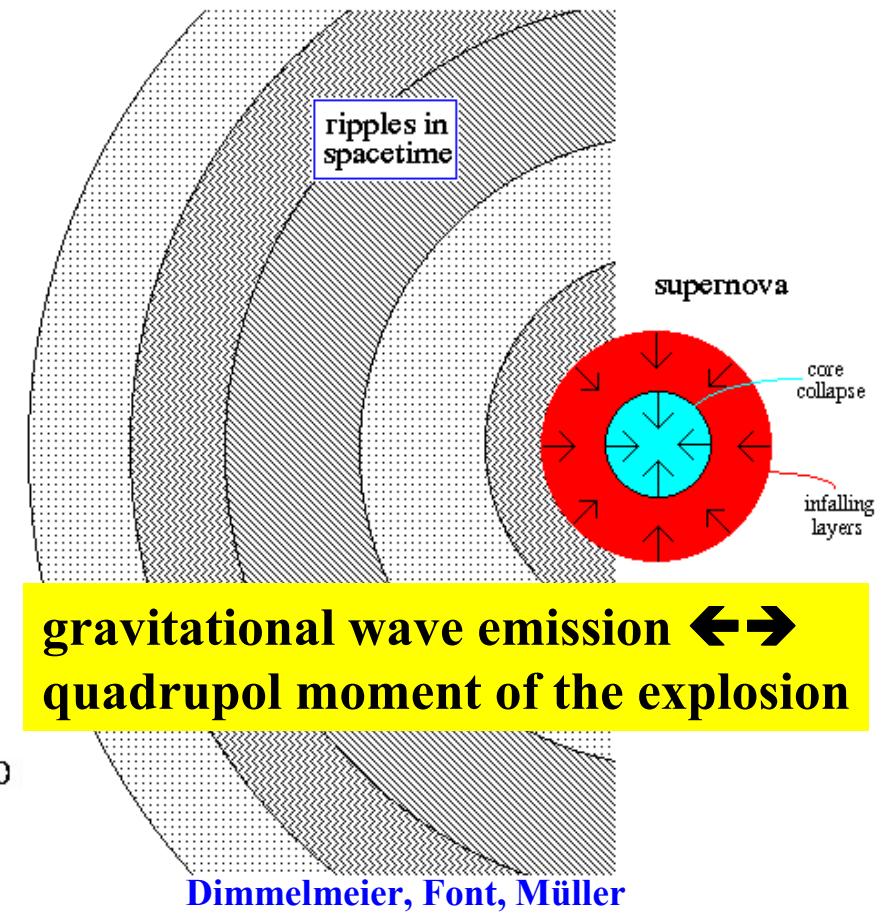
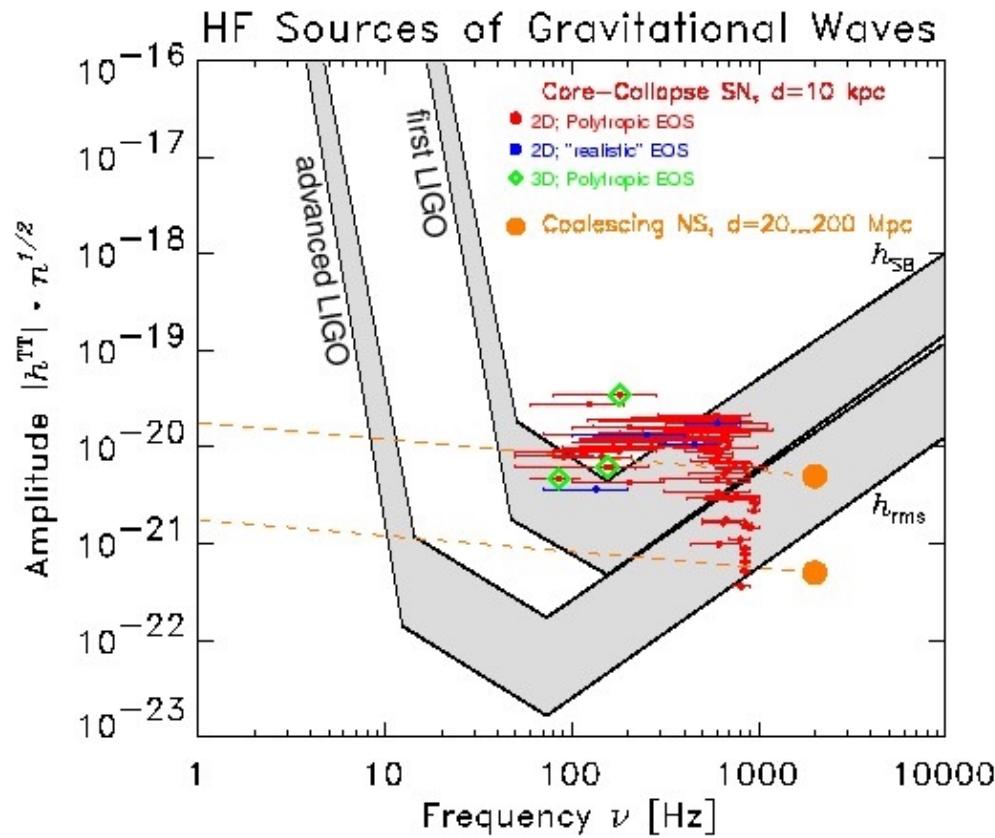
Very sensitive  
to finite  $\theta_{13}$   
and  $\text{sgn}(\Delta m^2)$



## 2 possibilities:



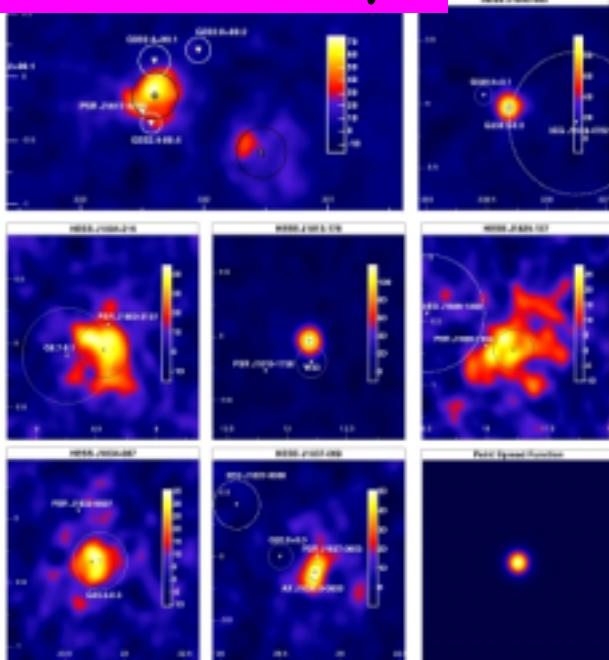
# Supernovae & Gravitational Waves



- additional information about galactic SN
- global fits: optical + neutrinos + gravitational waves
- neutrino properties + SN explosion dynamics
- SN1987A: strongest constraints on large extra dimensions

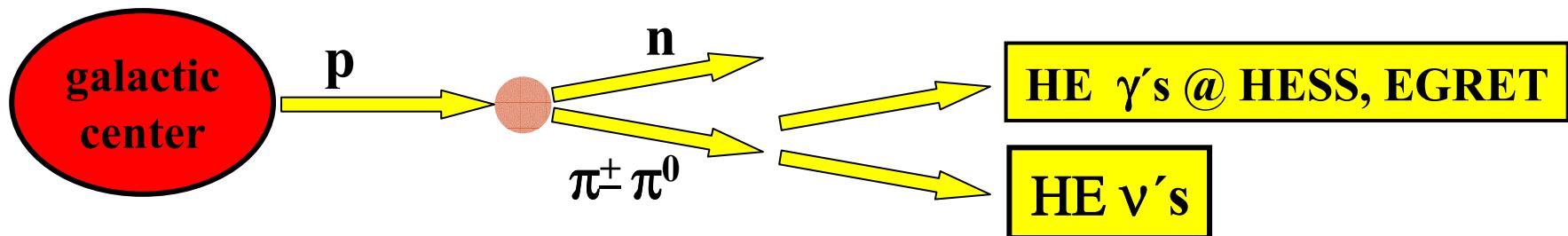
# Neutrinos & TeV $\gamma$ 's

HESS: TeV  $\gamma$ 's



A plausible explanation:

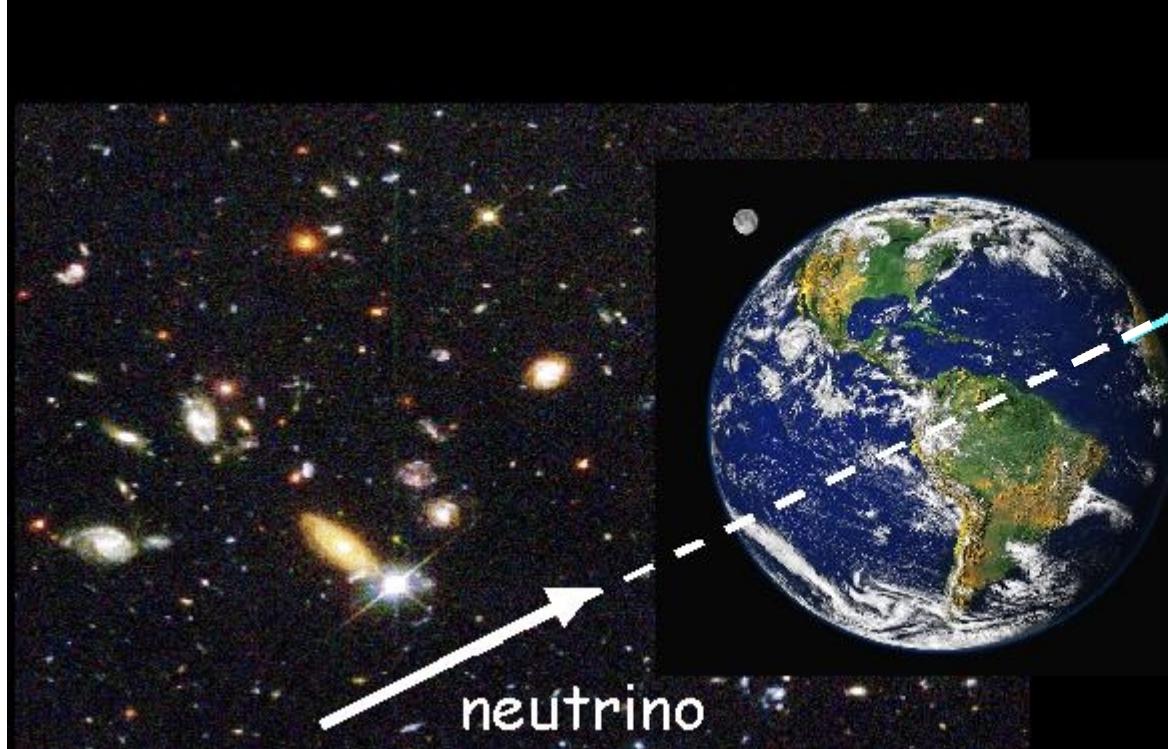
- SN shock front acceleration
- $\gamma$ 's from  $\pi^0$  decay
  - $\nu$  flux from GC
  - $\nu$  signal @ km<sup>3</sup> detectors



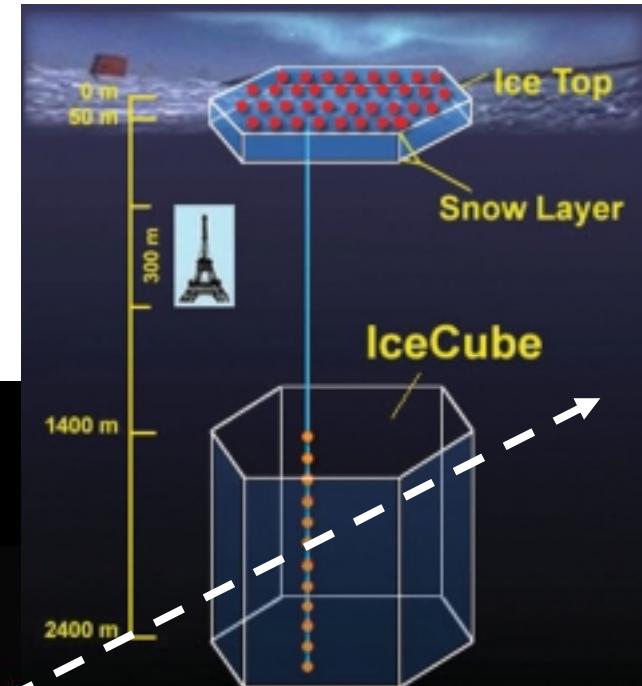
# Neutrino Telescopes

## Neutrino astronomy

→ see talks by G. Sigl,  
S. Schlenstedt and A. Kappes



neutrino



Baikal  
Amanda  
ICEcube  
Antares

# Conclusions

Neutrinos probe new physics in many ways!

